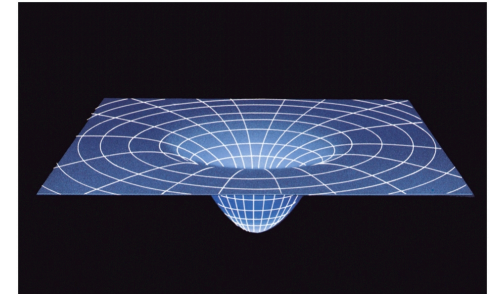


BLACK HOLES IN ASTROPHYSICS

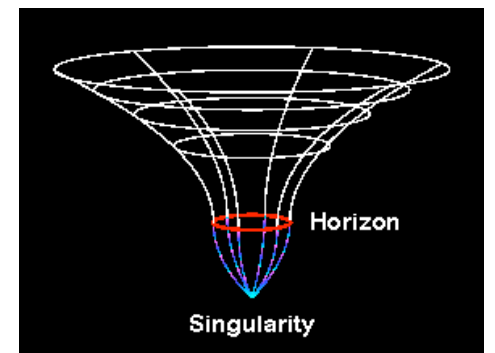
NEUTRON STARS COME MAINLY INTO 4 “FLAVOURS”

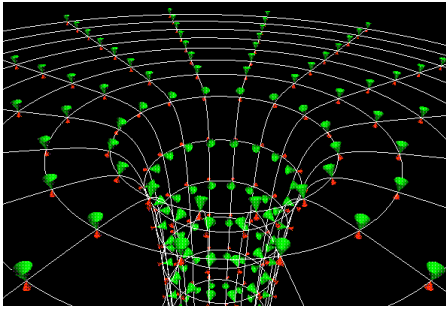
- (I) RADIO PULSARS (ROTATIONAL ENERGY)
- (II) ACCRETING X-RAY BINARIES (GRAVITY)
- (III) MAGNETARS (MAGNETIC ENERGY)
- (IV) COOLING (THERMAL ENERGY)



BLACK HOLES

- (I) “ACTIVE”: ACCRETING SOURCES (GRAVITY)
XRBs, ULXs & AGNs
- (II) “SILENT” : DYNAMICS OF STARS & LARGE SCALE
GASEOUS DISCS
GALACTIC CENTER & NEARBY GALAXIES





BASIC CONCEPTS

NO HAIR THEOREM

BLACK HOLES ARE DESCRIBED BY THREE NUMBERS

(I) MASS M

no limits on the BH mass

From the mass Planck scale (quantum corrections) to masses of billion solar masses

(II) SPIN “ a ” defined such that the angular momentum J of the BH is

$$J = a \frac{GM^2}{c}$$
$$a \leq 1$$

$a=0$ (non-spinning BH) $a=1$ (maximally-spinning BH)

KERR METRIC (1963)

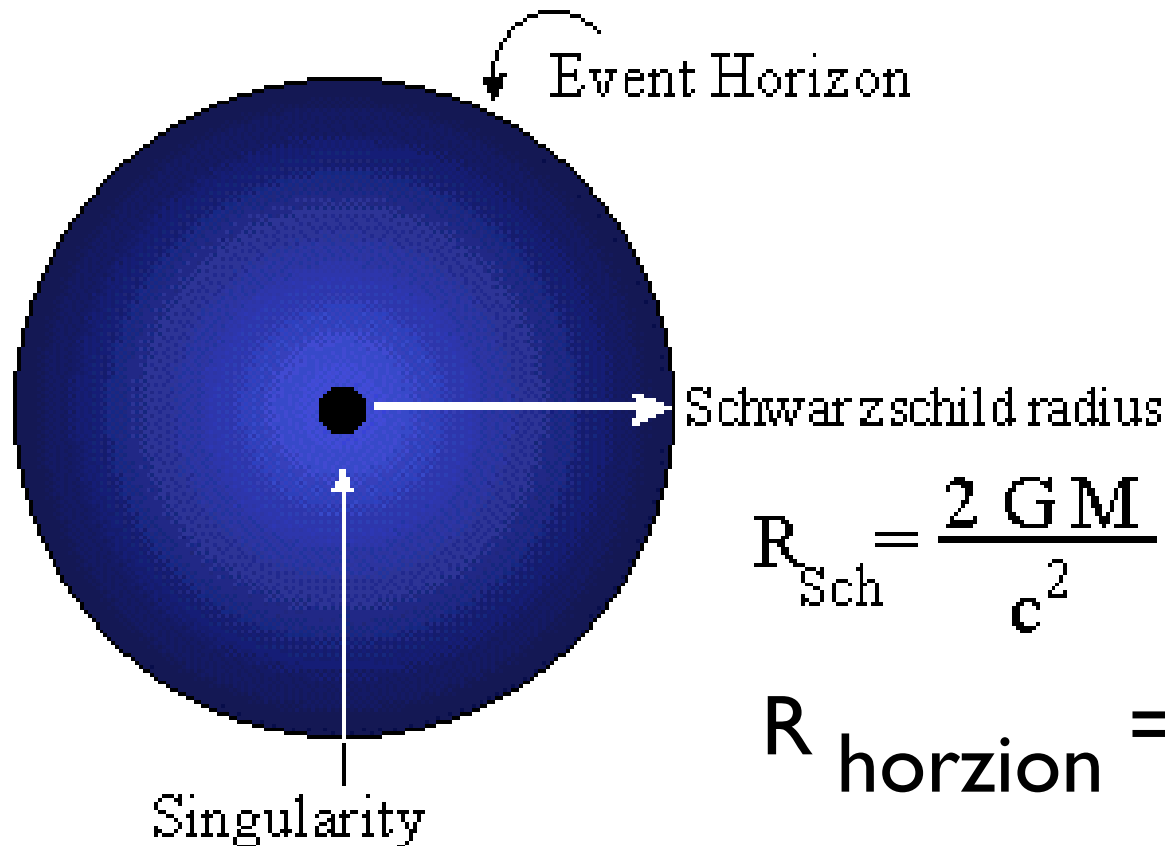
(III) ELECTRIC CHARGE

Similar to elementary particles like electrons!

Carter, Israel & Hawking 1972-1977

BLACK HOLES HAVE AN EVENT HORIZON

a one-way membrane that causally isolates the “inside” from the rest of the Universe



$$R_{\text{Sch}} = \frac{2GM}{c^2}$$

$$R_{\text{horzion}} = M + (M^2 - a^2)^{1/2}$$

COSMIC CENSORSHIP THEOREM

GEODESICS

When considering circular orbits of particles with finite mass “m” in the BLACK HOLE space-time a key concept is that of the INNERMOST STABLE CIRCULAR ORBIT (ISCO)

$$R_{\text{ISCO}}$$

CIRCULAR ORBITS WITH RADII $R > R_{\text{ISCO}}$
ARE DYNAMICALLY STABLE

ORBITS WITH $R < R_{\text{ISCO}}$
ARE UNSTABLE to CAPTURE

For photons
UNSTABLE CIRCULAR ORBIT EXISTS

$$R_{\text{ISCO}}$$

FOR MASSIVE PARTICLES

is a FUNCTION of M & of the SPIN PARAMETER “ a ”

$$a=0 \quad R_{\text{ISCO}}=6M \text{ (Schwarzschild)}$$

$a=1$ (maximally rotating Kerr)

$$R_{\text{ISCO}}=M \text{ (for corotating orbits)}$$

$$R_{\text{ISCO}}=9M \text{ (for counter-rotating orbits)}$$

PHOTONS

$$a=0 \quad R_{\text{cir}}=3M$$

$$a=1$$

$$R_{\text{cir corotating}}=M$$

$$R_{\text{cir counter-rotating}}=4M$$

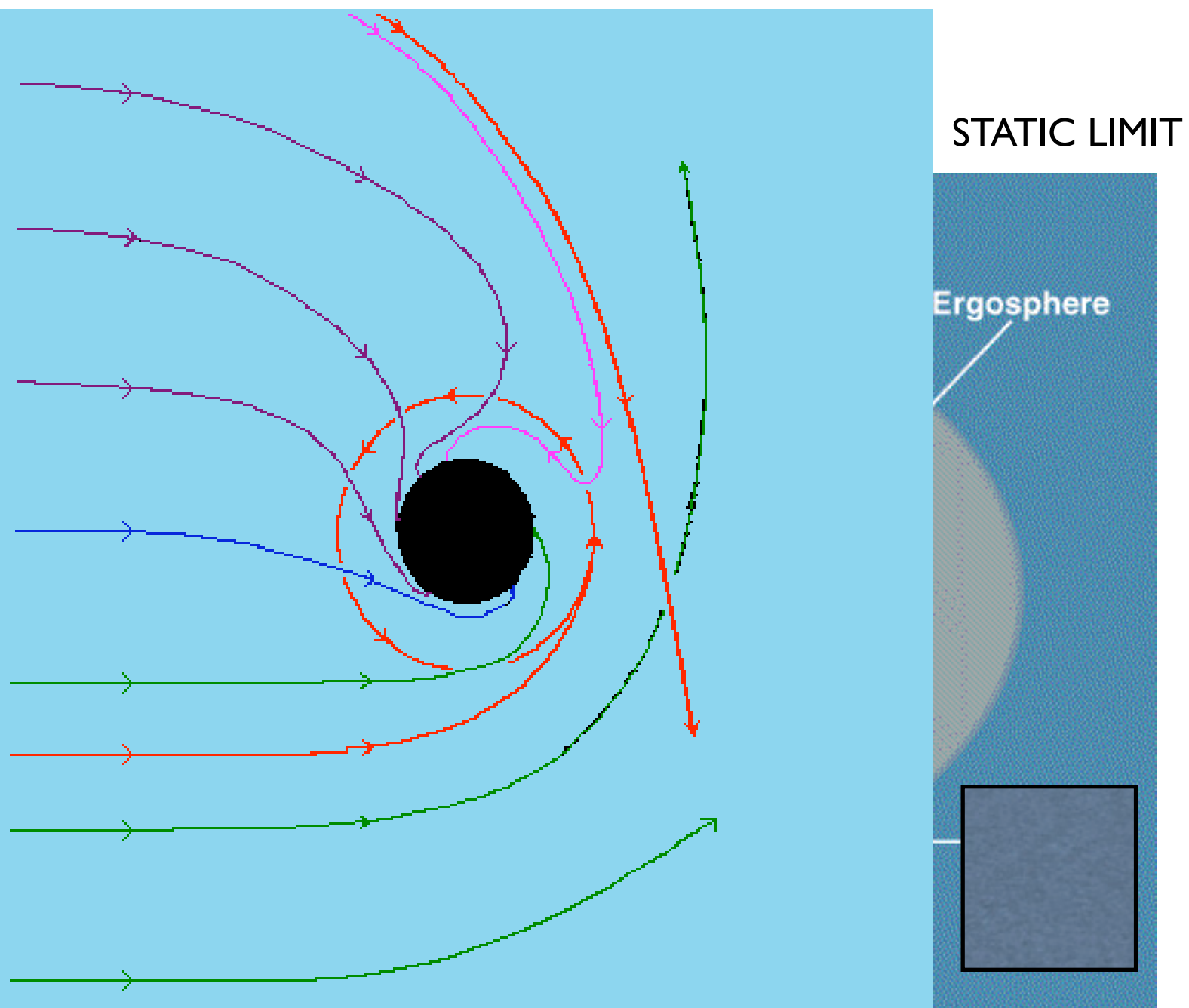


FIG. 4— Behaviour of light near a Kerr black hole. The hole is rotating anticlockwise. The rays drawn **bright red** just barely avoid capture by the hole. **Retrograde rays** must be further away than **direct rays** in order to avoid capture.

“ASTROPHYSICAL” BLACK HOLE “BLACK HOLE CANDIDATES”

(I)

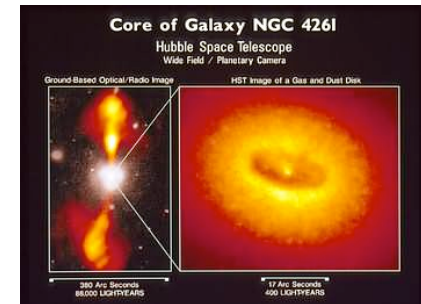
STELLAR MASS BLACK HOLES
BORN IN THE AFTERMATH OF SUPERNOVA EXPLOSIONS
DEATH OF THE MOST MASSIVE STARS

(II)

SUPERMASSIVE BLACK HOLES
GALACTIC NUCLEI

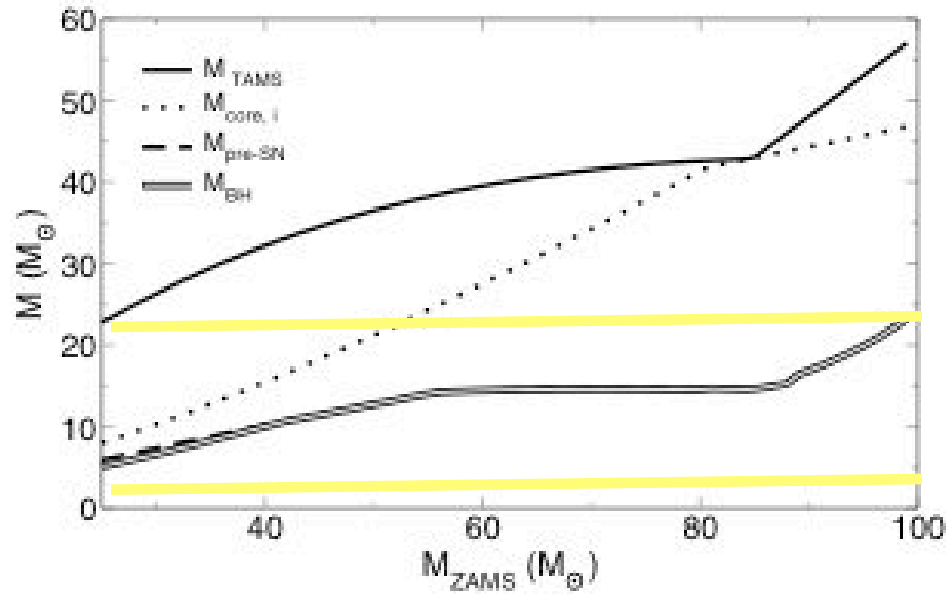
(III?)

INTERMEDIATE MASS BLACK HOLES ?
LINK WITH Pop III STARS
COSMIC GROWTH OF SUPERMASSIVE BLACK HOLES
DURING THE HIERARCHICAL ASSEMBLY OF GALAXIES

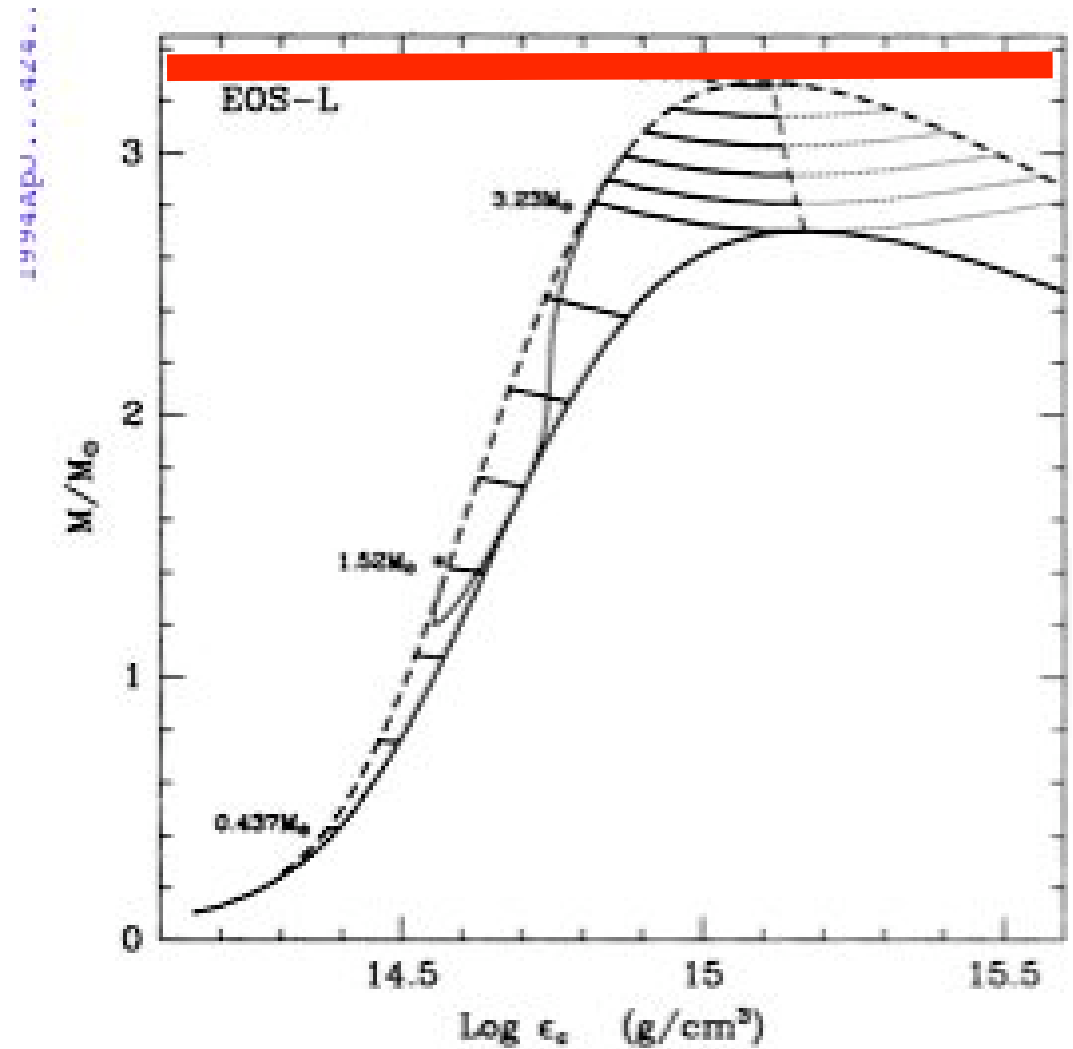


AIM OF THESE LECTURES: REVIEW THE CURRENT STATUS OF
BLACK HOLE ASTROPHYSICS FOCUSING ON BRAOD TOPICS
& DISCUSSING NEW PERSPECTIVES

STELLAR-MASS BLACK HOLES



MAXIMUM NEUTRON STAR MASS



MEASURING BLACK HOLE MASSES

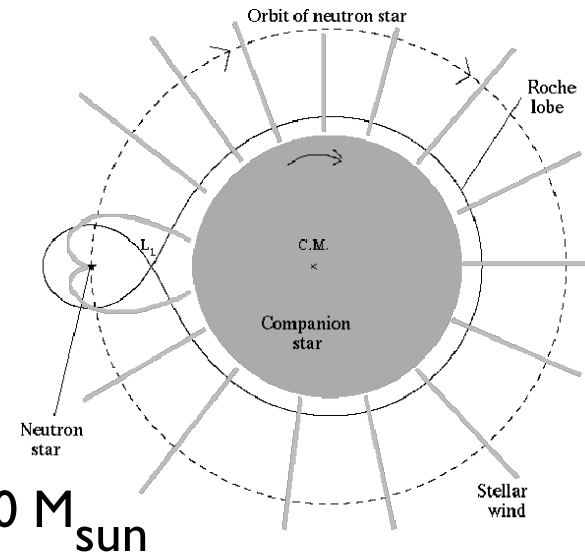
DYNAMICAL METHODS

- BLACK HOLES IN BINARIES
- PULSARS (future....THE EXPECTED DISCOVERY OF {BH,PSR})
- GRAVITATIONAL WAVE SIGNAL FROM COALESCING BLACK HOLE BINARIES

TWO MAIN CLASSES OF XRBs

HIGH MASS X-RAY BINARIES

- ACCRETING COMPACT OBJECT: BH or a HIGH B FIELD NS
- POWERED BY THE INTENSE WIND OF THE DONOR STAR
- COMPANION STAR: LUMINOUS EARLY-TYPE O(B) STAR $> 10 M_{\text{sun}}$
(Pop I)
- LIFETIME 10^5 yr
- SPATIAL DISTRIBUTION: GALACTIC PLANE
- STELLAR POPULATION: YOUNG, AGE $< 10^7$ yr
- TIME VARIABILITY: REGULAR X-RAY PULSATIONS FOR NEUTRON STARS

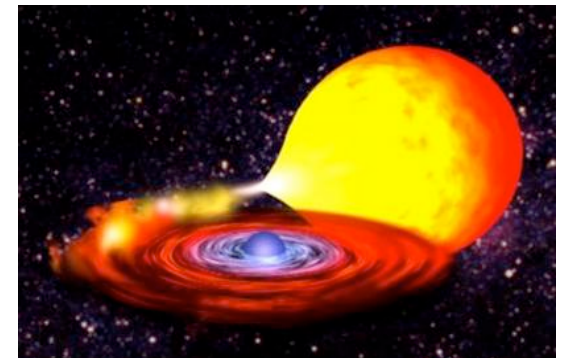


DISC FORMATION REQUIRES THE
SPECIFIC ANGULAR MOMENTUM OF THE ACCRETING MATTER BE

$$J^2 > GM_{\text{BH}} R_{\text{ISCO}}$$

LOW MASS X-RAY BINARIES

- ACCRETING COMPACT OBJECT: BH or a LOW B FIELD NS
- ROCHE LOBE OVERFLOW
- COMPANION STAR: FAINT LATE -TYPE STARS $< 1 M_{\text{sun}}$ (Pop I & II)
- TIMESCALE OF ACCRETION: 10^{7-9} yr
- SPATIAL DISTRIBUTION: GALACTIC CENTER, PLANE & GLOBULAR CLUSTERS (DYNAMICAL FORMATION)
- STELLAR POPULATION: OLD
- The best “BH CANDIDATES”
- TIME VARIABILITY: X-RAY BURST (Type I) FOR NSs
- X-RAY SPECTRA: SOFT



MASS FUNCTION

M_{BH} = BLACK HOLE MASS

M_C = MASS OF THE COMPANION STAR IN THE BINARY

° ANY SPECTRAL FEATURE EMITTED FROM M_C WILL BE DOPPLER SHIFTED

° LINE-OF-SIGHT VELOCITY

$$v_c = 2\pi a_c \sin(i) / P_{orb}$$

° P_{orb} , v_c are measured



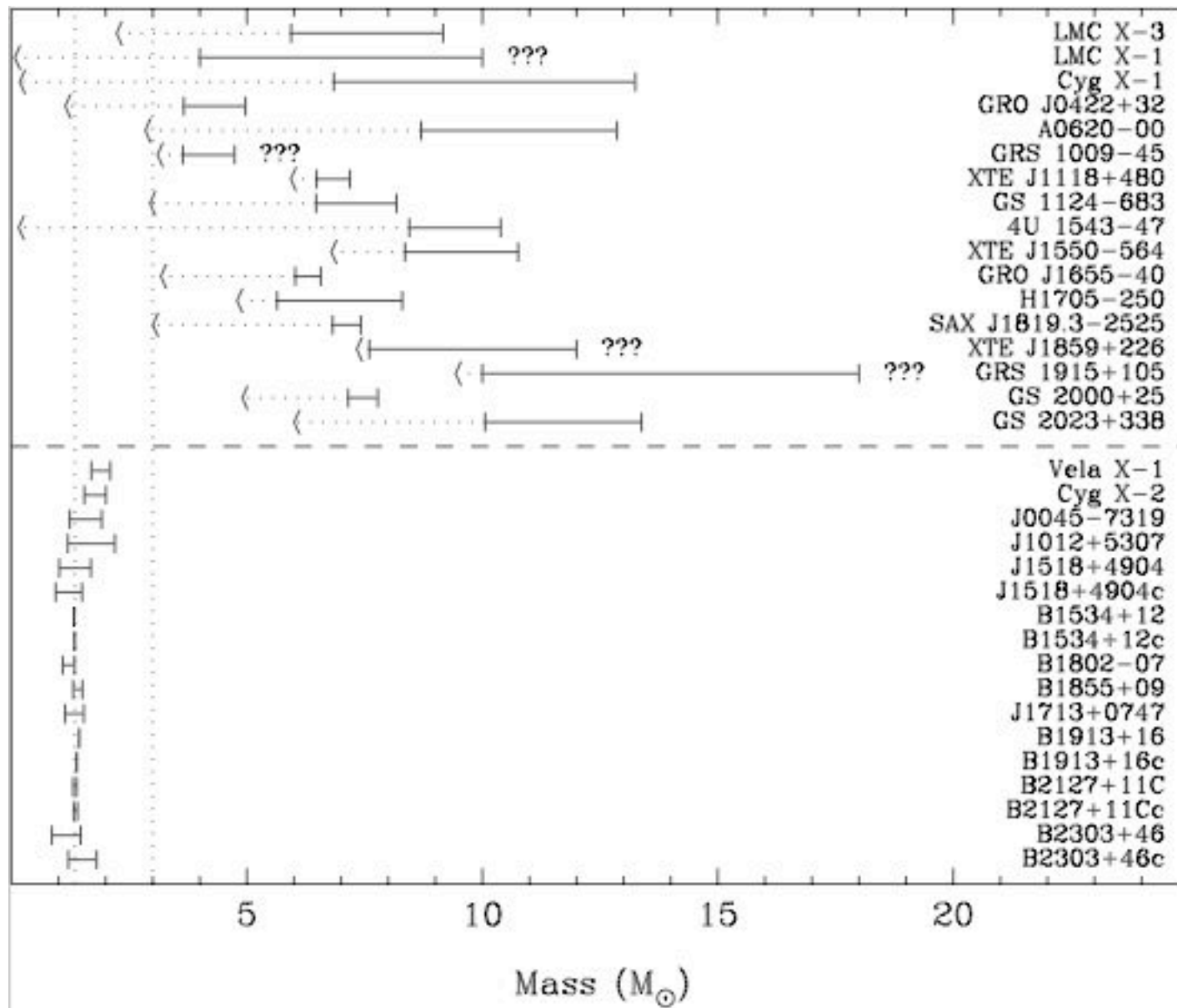
$$f_{BH} = f(M_{BH}, M_C, i) = P_{orb} v_c^3 / (2\pi G) = M_{BH} \sin^3(i) / (1 + M_C / M_{BH})$$

$$M_{BH} > f_{BH}$$

Table 1. Basic system parameters for the 14 transient and 3 persistent black hole binaries.

Source	Period (days)	$f(M)$ (M_{\odot})	$V_{\text{rot}} \sin i$ (km s^{-1})	inclination (deg)	$Q \equiv M_1/M_2$ range	BH mass range (M_{\odot})	refs.
GRO J0422+32	0.2121600(2)	1.19 ± 0.02	90_{-27}^{+22}	44 ± 2	3.2 – 13.2	3.66 – 4.97	1-3
● A0620–00	0.3230160(5)	2.72 ± 0.06	83 ± 5	40.8 ± 3.0	13.3 – 18.3	8.70 – 12.86	4-6
GRS 1009–45	0.285206(2)	3.17 ± 0.12	...	67?	6.3 – 8.0?	3.64 – 4.74?	7,8
XTE J1118+480	0.169930(4)	6.1 ± 0.3	114 ± 4	81 ± 2	22.7 – 28.8	6.48 – 7.19	9-11
GS 1124–683	0.432606(3)	3.01 ± 0.15	106 ± 13	54 ± 2	4.8 – 8.8	6.47 – 8.18	12-15
4U 1543–47	1.116407(3)	0.25 ± 0.01	46 ± 2	20.7 ± 1.5	3.2 – 4.0	8.45 – 10.39	16
XTE J1550–564	1.5435(5)	6.86 ± 0.71	$90 \pm 10?$	72 ± 5	> 12	8.36 – 10.76	17
GRO J1655–40	2.6219(2)	2.73 ± 0.09	93 ± 3	70.2 ± 1.2	2.4 – 2.7	6.03 – 6.57	18-20
H1705–250	0.521(1)	4.86 ± 0.13	< 79	> 60	> 18.9	5.64 – 8.30	21-23
SAX J1819.3–2525	2.81730(1)	3.13 ± 0.13	98.9 ± 1.5	75 ± 2	2.22 – 2.39	6.82 – 7.42	24,25
XTE J1859+226	0.382(3)	7.4 ± 1.1	7.6 – 12.0?	26
● GRS 1915+105	34(2)	9.5 ± 3.0	...	$70 \pm 2?$...	10.0 – 18.0?	27-29
GS 2000+25	0.3440915(9)	5.01 ± 0.12	86 ± 8	64.0 ± 1.3	18.9 – 28.9	7.15 – 7.78	30-32
GS 2023+338	6.4714(1)	6.08 ± 0.06	38.8 ± 1.1	56 ± 4	16.1 – 18.9	10.06 – 13.38	33,34
LMC X-3	1.70479(4)	2.29 ± 0.32	130 ± 20	67 ± 3	1.1 – 2.0	5.94 – 9.17	35-37
LMC X-1	4.2288(6)	0.14 ± 0.05	...	$\approx 63?$	0.3 – 0.7?	4.0 – 10.0?	38
Cyg X-1	5.59983(2)	0.244 ± 0.005	94 ± 5	35 ± 5	0.50 – 0.57	6.85 – 13.25	39-42

References: **1:** Webb et al. 2000; **2:** Harlaftis et al. 1999; **3:** Gelino & Harrison 2002; **4:** Leibowitz, Hemar, & Orio 1998; **5:** Marsh, Robinson, & Wood 1994; **6:** Gelino, Harrison, & Orosz 2001; **7:** Filippenko et al. 1999; **8:** Gelino 2002; **9:** Wagne et al. 2001; **10:** Orosz 2001; **11:** McClintock et al. 2001; **12:** Orosz et al. 1996; **13:** Casares et al. 1997; **14:** Shahbaz, Naylor & Charles 1997; **15:** Gelino, Harrison, & McNamara 2001; **16:** Orosz et al. 2002b; **17:** Orosz et al. 2002a; **18:** Greene, Bailyn & Orosz 2001; **19:** Shahbaz et al. 1999a; **20:** Israelian et al. 1999; **21:** Remillard et al. 1996; **22:** Filippenko et al. 1997; **23:** Harlaftis et al. 1997; **24:** Orosz et al. 2001; **25:** Orosz et al. 2002c; **26:** Filippenko & Chornock 2001; **27:** Greiner, Cuby & McCaughrean 2001; **28:** Mirabel & Rodriguez 1994; **29:** Fender et al. 1999; **30:** Chevalier & Ilovaisky 1993; **31:** Harlaftis Horne, & Filippenko 1996; **32:** Gelino 2001; **33:** Casares & Charles 1994; **34:** Shahbaz et al. 1994; **35:** van der Klis et al. 1985; **36:** Cowley et al. 1983; **37:** Kuiper, van Paradijs, & van der Klis 1988; **38:** Hutchings et al. 1987; **39:** Brocksopp et al. 1999; **40:** Ninkov, Walker, & Yang 1987; **41:** Gies & Bolton 1986; **42:** Herraro et al. 1995.



EVIDENCE FOR THE EVENT HORIZON ?

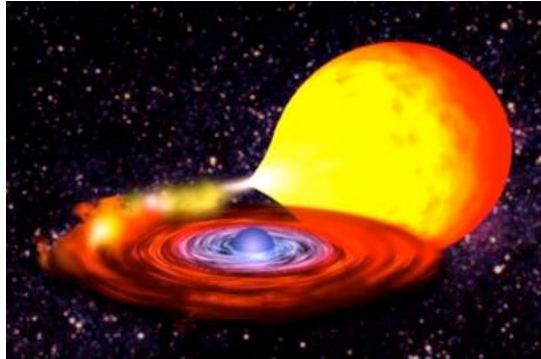
THERE IS AS YET NO EVIDENCE THAT ANY OF THE BLACK
HOLE CANDIDATES DISCOVERED SO FAR
IS A “TRULY” BLACK HOLE !

MASS MEASUREMENTS ALONE CAN NOT PROVE
THAT THE X-RAY SOURCE IS A BLACK HOLE !

TO PROVE THAT AN OBJECT IS A BLACK HOLE
REQUIRES AN UNAMBIGUOUS DEMONSTRATION
THAT IT POSSESSES AN EVENT HORIZON

..... STUDYING “ACCRETION” IN XRBs

BASICS OF ACCRETION THEORY

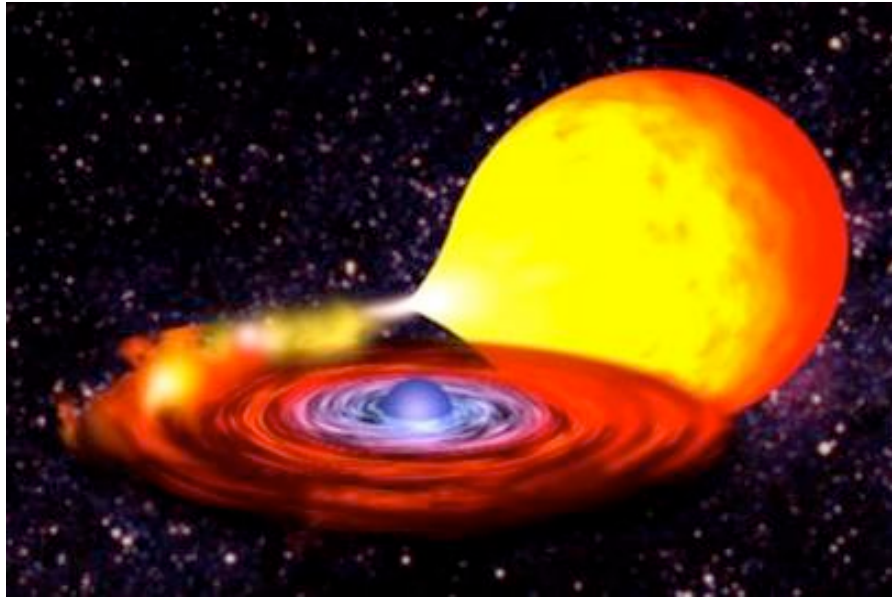


OUTER EDGE=INNER LAGRANGIAN POINT

SPIRAL IN OF GAS ALONG NEARLY KEPLERIAN ORBITS
VISCOUS EFFECTS TEND TO TRANSFER
ANGULAR MOMENTUM OUTWARD ALLOWING THE GAS
TO DRIFT INWARD DOWN TO THE

INNER EDGE = ISCO

Shakura & Sunyaev α -disc



R_{ISCO}

EFFICIENCY OF CONVERSION OF GRAVITATIONAL ENERGY
- INTERNAL ENERGY - RADIATION

THE RADIATIVE EFFICIENCY OF AN ACCRETION DISC IS DEFINED AS THE
ENERGY IT RADIATES PER UNIT TIME ACCRETED MASS

$$\eta = L_{\text{acc}} / \dot{M} c^2$$
$$\eta = 0.057 \quad (a=0)$$
$$\eta = 0.42 \quad (a=1)$$

THE PRESENCE OF A GEOMETRICALLY THIN,
OPTICALLY THICK DISC IS CRITICAL FOR
HAVING LARGE LUMINOSITIES

$$\eta=0.057 \text{ (a=0)}$$

$$\eta=0.42 \text{ (a=1)}$$

BY CONTRAST

IN “SPHERICAL” INFLOWS
THE ACCRETION EFFICIENCY
ONTO A BLACK HOLE WOULD BE
ORDERS OF MAGNITUDE LOWER
THAN THAT OF A DISC

$$\eta = 6 \times 10^{-11} \text{ M/M}_{\odot}$$

in the environment like the ISM

cause: rapid advection of the internal energy by the converging,
rapidly accreting gas

$$\eta \sim 10^{-4}$$

EDDINGTON LUMINOSITY

STATIONARY ACCRETION

$$- GM_{\text{BH}} m / r^2 \quad + L \sigma_{\text{T}} / (4 \pi c r^2)$$

$$L_{\text{E}} = 4 \pi c G M_{\text{BH}} m_{\text{p}} / \sigma_{\text{T}} = 1.3 \times 10^{38} \text{ erg s}^{-1}$$

for a solar mass black hole

THIN DISCS

While viscosity transports angular momentum and thus spreads the initial ring into a disc, the nature of this accretion disc is determined by the efficiency with which the disc can cool.

In many cases this is high enough that the disc is *thin*: that is, its scaleheight H obeys

$$H \simeq \frac{c_s}{v_K} R \ll R \quad (1)$$

at disc radius R , where c_s is the local sound speed, and

$$v_K = \left(\frac{GM}{R} \right)^{1/2} \quad (2)$$

is the Kepler velocity, with M the accretor mass. In this state the azimuthal velocity is close to v_K , and the radial and vertical velocities are much smaller.

If the thin disc approximation holds, the vertical structure is almost hydrostatic and decouples from the horizontal structure, which can be described in terms of its surface density Σ . If the disc is axisymmetric, mass and angular momentum conservation imply that the latter obeys a nonlinear diffusion equation

$$\frac{\partial \Sigma}{\partial t} = \frac{3}{R} \frac{\partial}{\partial R} \left(R^{1/2} \frac{\partial}{\partial R} [\nu \Sigma R^{1/2}] \right). \quad (1)$$

Here ν is the kinematic viscosity, which is usually parametrized as

$$\nu = \alpha c_s H. \quad (2)$$

where α is a dimensionless number. In a steady state this gives

$$\nu \Sigma = \frac{\dot{M}}{3\pi} \left[1 - \beta \left(\frac{R_{\text{in}}}{R} \right)^{1/2} \right], \quad (3)$$

where \dot{M} is the accretion rate and the dimensionless quantity β is specified by the boundary condition at the inner edge R_{in} of the disc. In a steady thin disc dissipation $D(R)$ per unit surface area is also proportional to $\nu \Sigma$, i.e.

$$D(R) = \frac{9}{8} \nu \Sigma \frac{GM}{R^3} \left[1 - \beta \left(\frac{R_{\text{in}}}{R} \right)^{1/2} \right], \quad (4)$$

so that the surface temperature T is independent of the viscosity ν despite being entirely generated by it:

$$T = T_{\text{visc}} = \left\{ \frac{3GM\dot{M}}{8\pi R^3 \sigma} \left[1 - \beta \left(\frac{R_{\text{in}}}{R} \right)^{1/2} \right] \right\}^{1/4}. \quad (5)$$

$$T \propto M^{-1/4}$$



Disc timescales

The first is the dynamical timescale

$$t_{\text{dyn}} \sim \frac{R}{v_{\text{K}}} = \left(\frac{R^3}{GM} \right)^{1/2}, \quad (1)$$

characterizing states in which dynamical equilibrium is disturbed; note that vertical hydrostatic balance is resored on a timescale

$$t_z \sim \frac{H}{c_s} = \frac{R}{v_{\text{K}}} = t_{\text{dyn}}. \quad (2)$$

The second is the thermal timescale

$$t_{\text{th}} = \frac{\Sigma c_s^2}{D(R)} \sim \frac{R^3 c_s^2}{GM\nu} = \frac{c_s^2}{v_{\text{K}}^2} \frac{R^2}{\nu} = \left(\frac{H}{R} \right)^2 t_{\text{visc}}. \quad (3)$$

The alpha–disc parametrization can be used to show that the viscous timescale is

$$t_{\text{visc}} \sim \frac{1}{\alpha} \left(\frac{H}{R} \right)^{-2} t_{\text{dyn}} \quad (4)$$

so we finally have the ordering

$$t_{\text{dyn}} \sim t_z \sim \alpha t_{\text{th}} \sim \alpha (H/R)^2 t_{\text{visc}}, \quad (5)$$

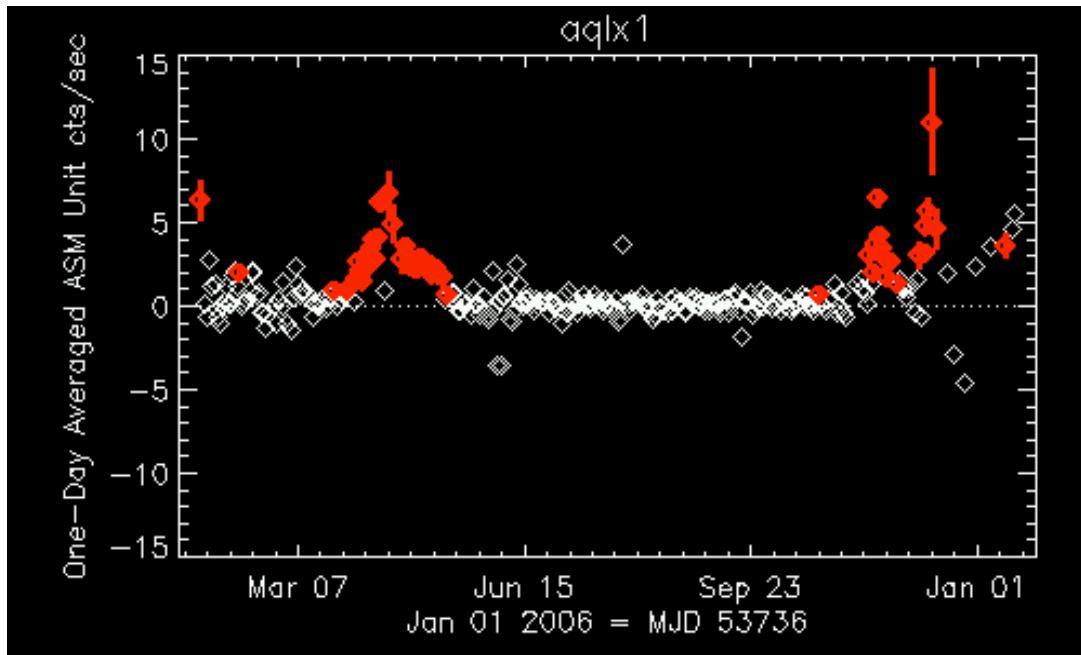
i.e. dynamical < thermal < viscous.

● Breakdown of the thin disc approximation

The thin disc approximation requires the accreting matter to cool efficiently. However flows with low radiative efficiency on to a black hole can in principle occur, for at least two reasons:

- the accretion rate \dot{M} may be so low that the inflowing gas has low density and thus a long cooling time,
- or conversely \dot{M} may be so large that the flow is very optically thick, and radiation is trapped and dragged down the hole.

As energy is advected inwards, these flows are called ADAFs (advection-dominated accretion flows). If the accretor is not a black hole, the advected energy must be released near the surface of the accretor. This effect has been invoked to explain observations of quiescent transients.



1



Accretion disc stability

Many accreting sources are observed to vary strongly. The examples relevant here are soft X-ray transients (SXTs), where a black hole or neutron star accretes from a low-mass companion. In both cases the system spends most of its time in quiescence, with occasional outbursts in which it is much brighter. In SXTs, quiescence lasts $\sim 1 - 50$ yr or more, outburst \sim months. The system luminosity rises from $\sim 10^{32}$ erg s $^{-1}$ to $10^{38} - 10^{39}$ erg s $^{-1}$.

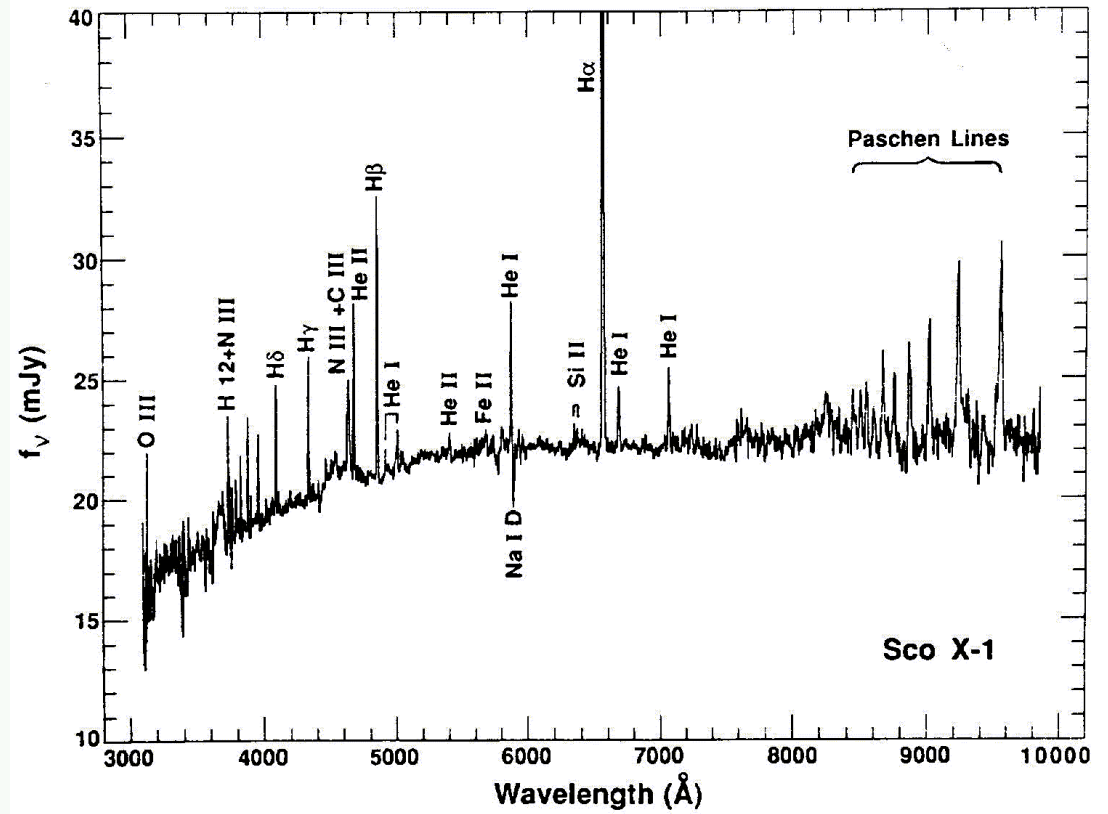
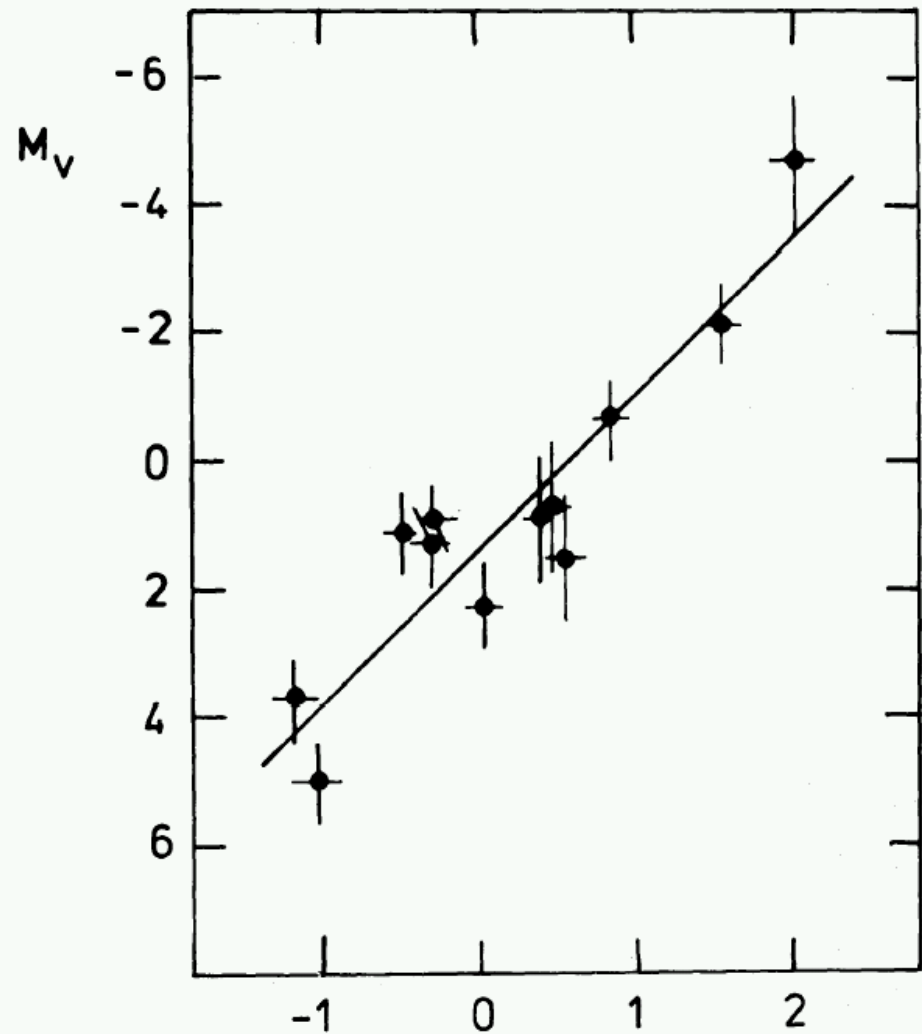


Fig. 2. Relation between the absolute visual magnitude M_V and $\Sigma = (L_X/L_{\text{Edd}})^{1/2} (P/1\text{hr})^{2/3}$. The straight line represents the least-squares fit (see text)

DISC INSTABILITY PICTURE

(Lasota & Frank)

In a certain range of mass transfer rates
the disc can exist in either two states

High Viscosity State - OUTBURST

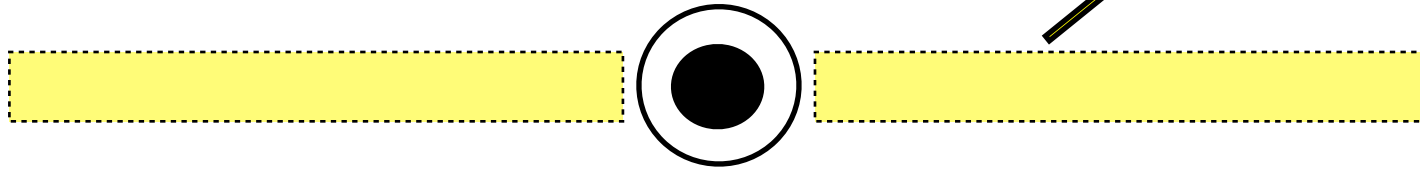
Low Viscosity State - QUIESCENCE

These two states correspond to hydrogen existing in
ionized & neutral states, respectively

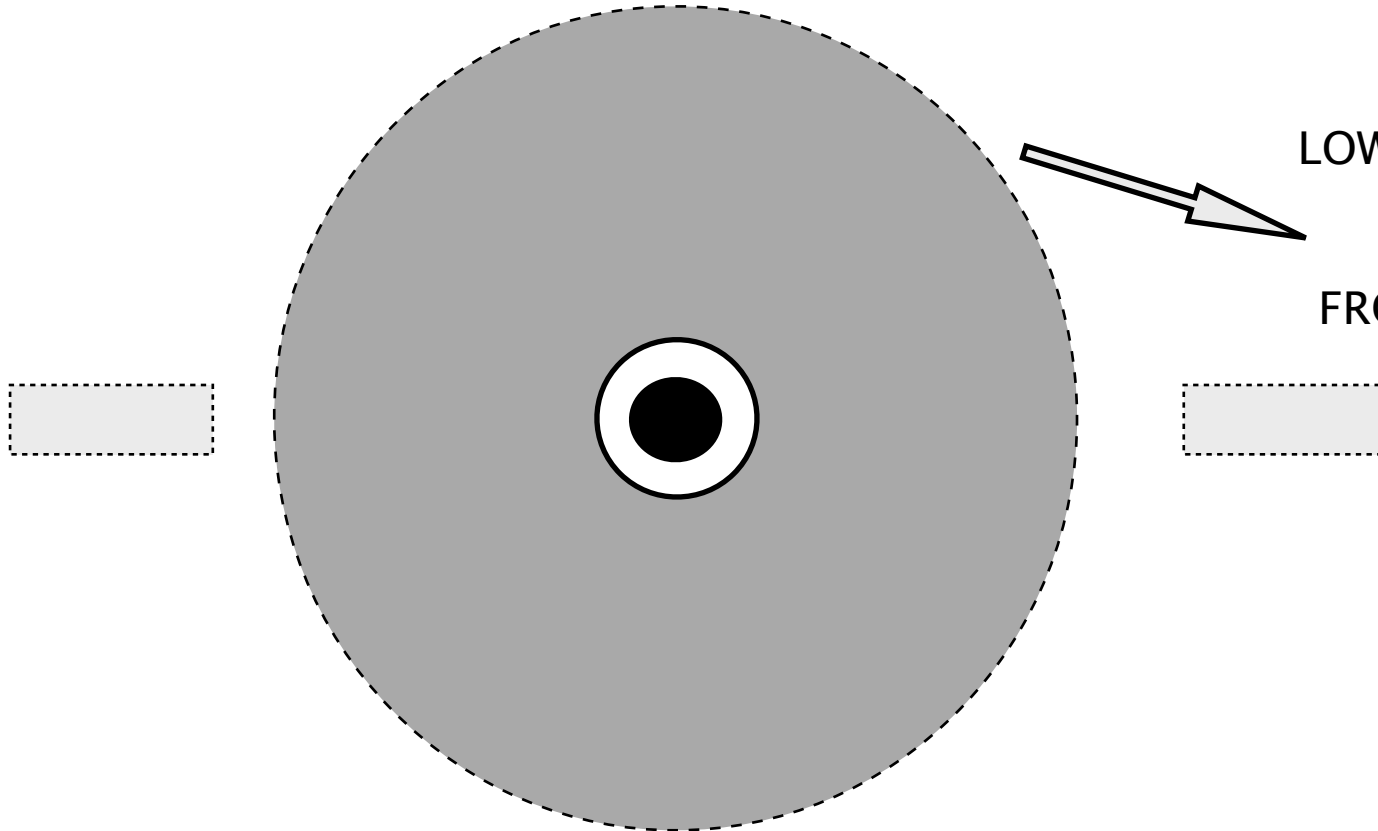
The condition for a disc to be stable:
It must have NO IONIZATION zones

BLACK HOLE
TRANSIENTS

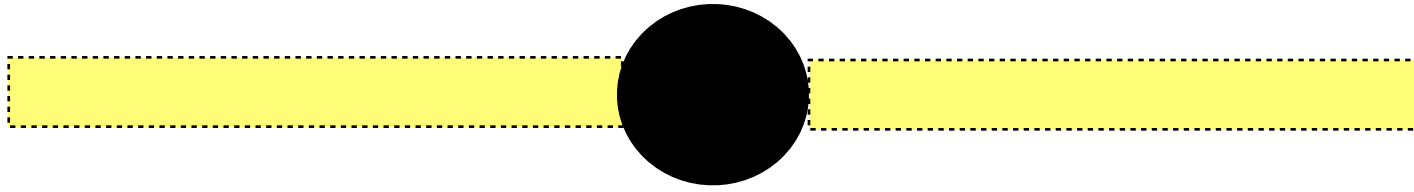
HIGH LUMINOSITY STATE
SOFT-THERMAL
COMPONENT



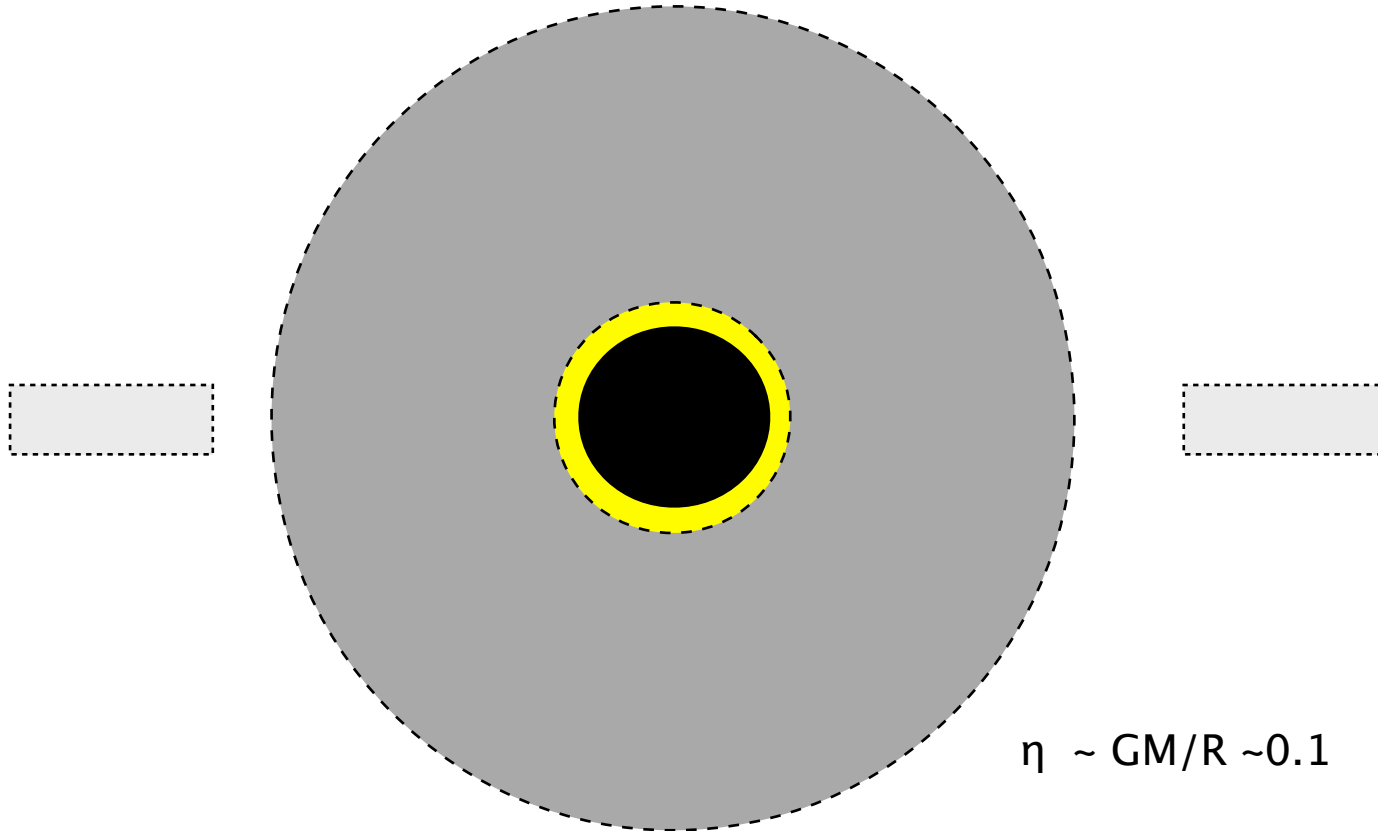
LOW LUMINOSITY STATE
HARD
COMPONENT
FROM A HOT CORONA



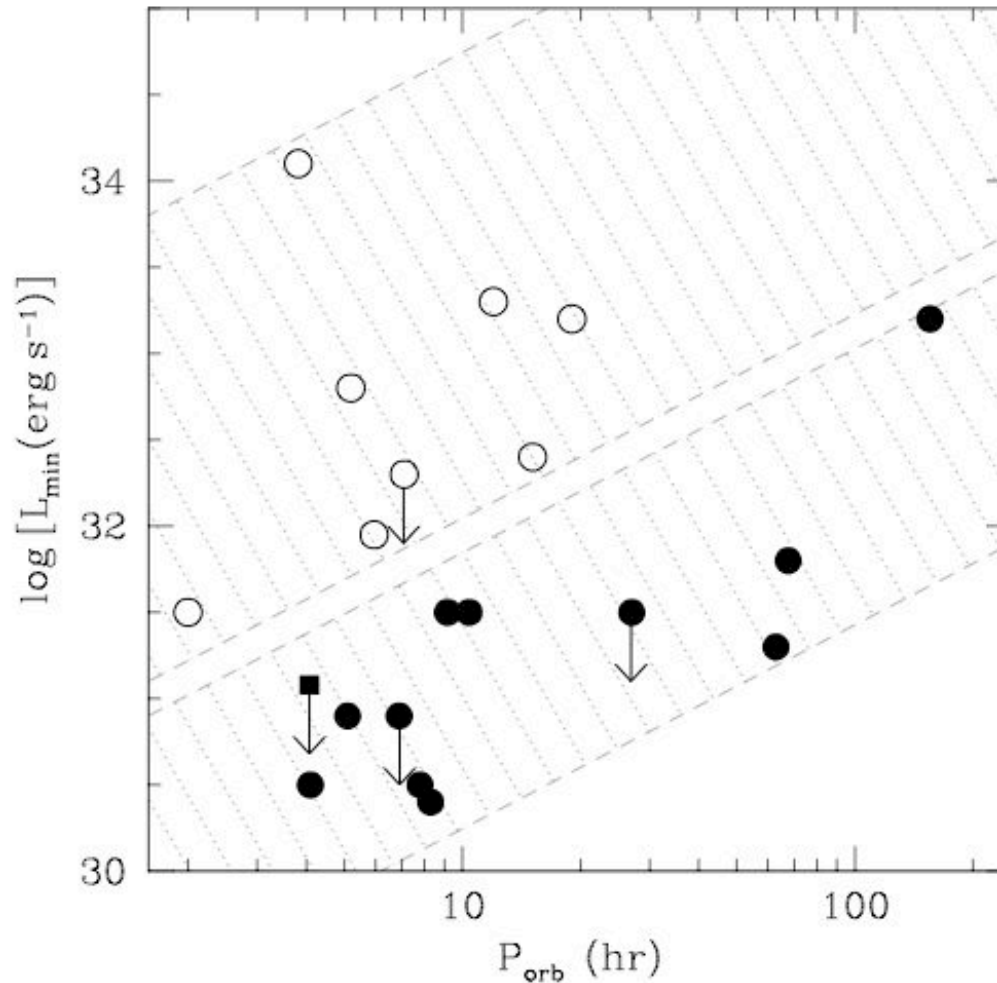
NEUTRON STAR SXR TRANSIENTS



EMISSION FROM THE
NEUTRON STAR SURFACE



$$\eta \sim GM/R \sim 0.1$$



QUIESCENT LUMINOSITIES OF SXRTs against ORBITAL PERIOD.
 FILLED SYMBOLS CORRESPOND TO BLACK HOLE CANDIDATES,
 OPEN SYMBOLS TO NEUTRON STARS
 shaded bands are to guide the eye

As a group, the NSs are a factor 100 brighter than BH candidates
 This difference may be interpreted as evidence that BH
 candidates possess event horizons

NEUTRON STARS HAVE A SURFACE

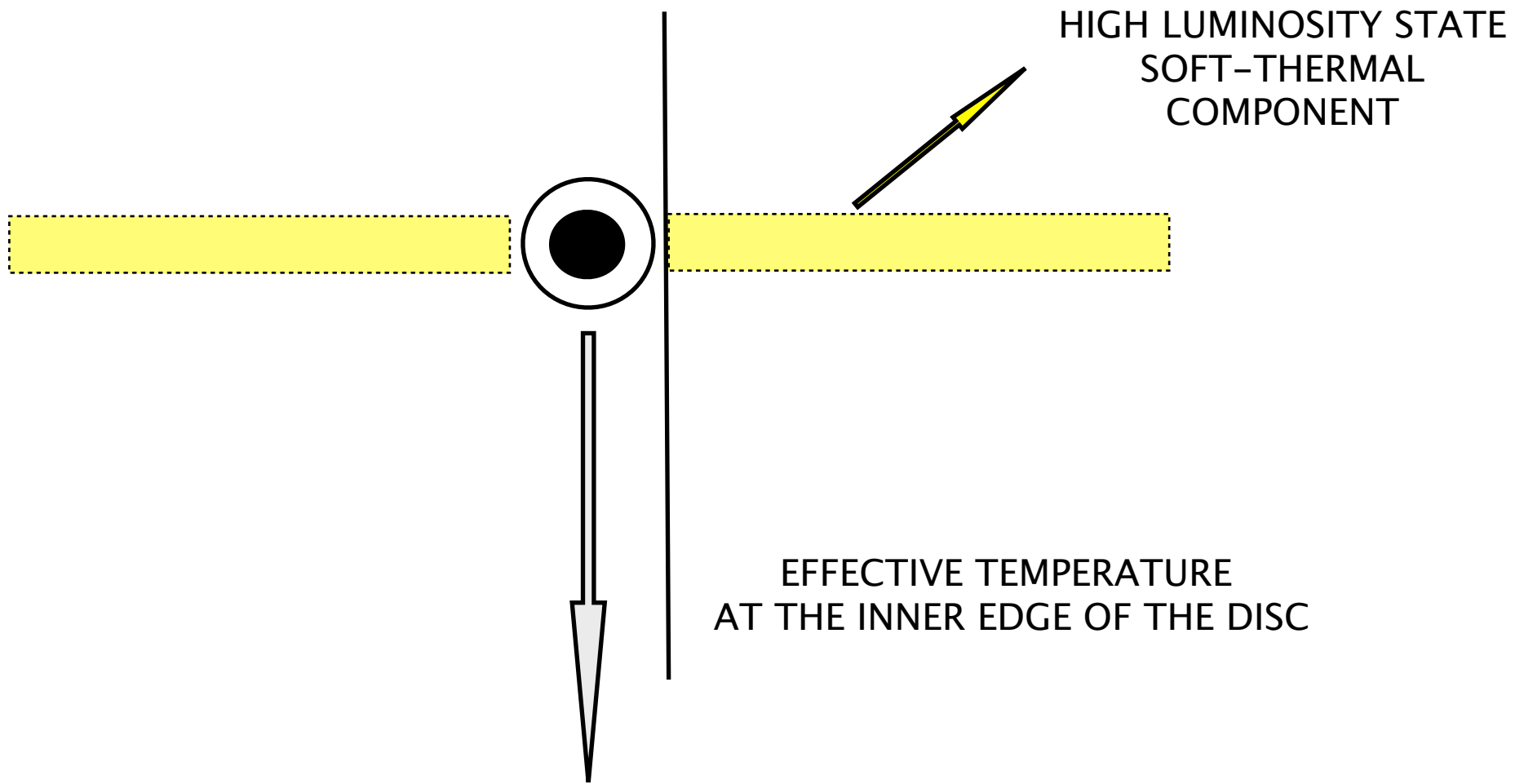
- THE RADIATIVE EFFICIENCY is always $\eta \approx GM/Rc^2 \approx 0.1$
- $L = L_{\text{accretion of gas "on flight"}} + L_{\text{impact of gas on the surface}}$
- $L = L_{\text{radio emission}}$ DURING QUIESCENCE
- BOUNDARY LAYER ... producing power in the variability of power spectra at high frequencies (as high as 1 kHz)
- TYPE I X-RAY BURSTS - THERMONUCLEAR EXPLOSIONS OVER THE SURFACE
- QUIESCENT STATE OF SXRTs : COOLING OF THE NEUTRON STAR + SOME MAGNETOSPHERIC NON-THERMAL COMPONENT

BLACK HOLE SPIN

ESTIMATING THE MASS OF A BLACK HOLE IS RELATIVELY EASY
SINCE THE MASS HAS A MEASURABLE
EFFECT EVEN AT LARGE RADII WHERE NEWTONIAN GRAVITY APPLIES

SPIN DOES NOT HAVE ANY NEWTONIAN ANALOGUE AND ONLY FOR
RELATIVISTIC ORBITS DOES SPIN HAVE MEASURABLE EFFECTS

STUDY OF GAS NEAR THE ISCO



ATTEMPT TO CORRELATE THE HIGHEST BLACK BODY TEMPERATURE
FROM SPECTRAL FITTING OF A MULTI COLOR BB DISC
IN ORDER TO MEASURE
 R_{ISCO} (a) KOWN THE MASS

°IRON LINE

°QPOs GAS BLOB AT ISCO

KEPLERIAN FREQUENCY IS A FUNCTION OF M and "a" (>0.5)

SUPERMASSIVE BLACK HOLES

THE GALACTIC CENTER

Genzel MPE-Cologne Group at ESO-VLT
Ghez UCLA Group at Keck

THE GALACTIC CENTER
HOSTS A VERY COMPACT RADIO SOURCE
Sagittarius A*

THE IMAGE OF Sgr A*

in millimeter radio waves indicates an angular size of about
240 micro-arcsecond corresponding to 10 light minutes

RADIO INTERFEROMETRIC DATA OVER 8 YEARS
VELOCITY PERPENDICULAR TO THE GALACTIC PLANE
 -0.4 ± 0.9 km/s

THE LOW BROWNIAN MOTION
RESULTING FROM THE INTERACTION WITH STARS
IMPLIES A LARGE MASS ($10^5 M_{\odot}$)

SGR A* is also a modest X-ray source

Sagittarius A* is located at the center of a nuclear star cluster
THE STELLAR CUSP around the BLACK HOLE !

ONE OF THE RICHEST CONCENTRATIONS
OF YOUNG MASSIVE STARS IN THE MILKY WAY

....PARADOX OF YOUTH

(could denser than 10^9 atoms/cm³)

THE TWO GROUPS HAVE BEEN ABLE TO TRACE
THE POSITIONS IN THE SKY AS A FUNCTION OF TIME
OF A NUMBER OF CENTRAL STARS ORBITING SgrA*

° PROPER MOTION OF
STARS AS CLOSE AS 0.1 arcsec from SgrA*
1000 km/s
WITHIN THE CENTRAL LIGHT MONTH

° DOPPLER SPECTROSCOPY OF THE SAME STAR

IN THE CENTER OF OUR MILKY WAY GALAXY
THERE IS A MASSIVE DARK OBJECT

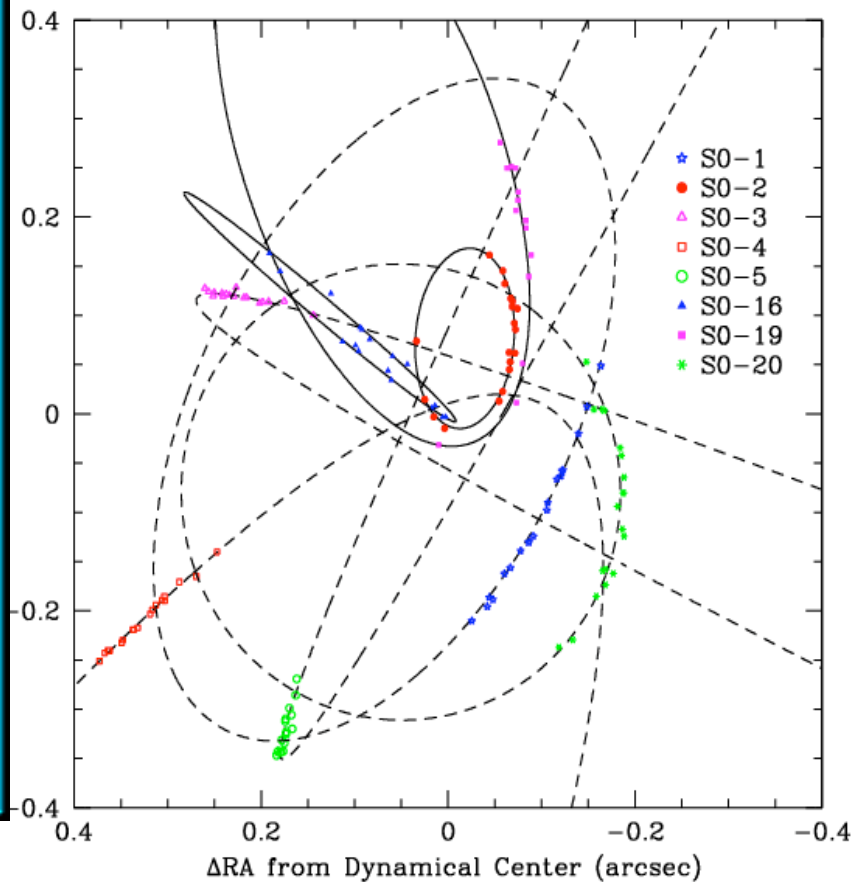
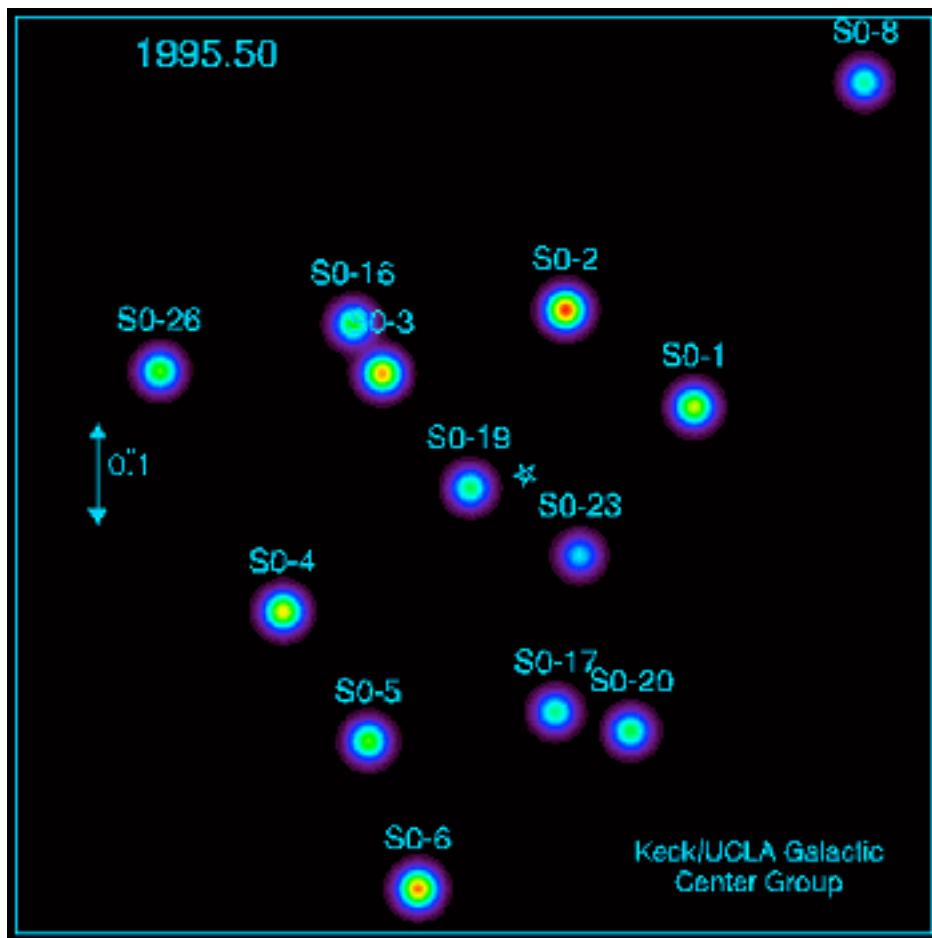
MDO

BY MODELING THE ORBITS WITH KEPLERIAN DYNAMICS

$$M_{\text{BH}} = 3.7 \pm 0.2 M_{\odot}$$

THE SCHWARTZSCHILD RADIUS SUBTENDS A MERE 10^{-5} arcsec

GALACTIC CENTER THREE DIMENSIONAL STRUCTURE OF SEVERAL STAR ORBITS



S14 (S0 16)

HAS PERICENTER AT 10 -20 LIGHT HOURS
70 Earth Orbit Radius
1000 GRAVITATIONAL RADII

$$\rho_{\text{orbit of S016}} \sim M_{\text{BH}}/R_{\text{peri}}^3$$

$$\rho_{\text{MDO}} > \rho_{\text{orbit of S016}}$$

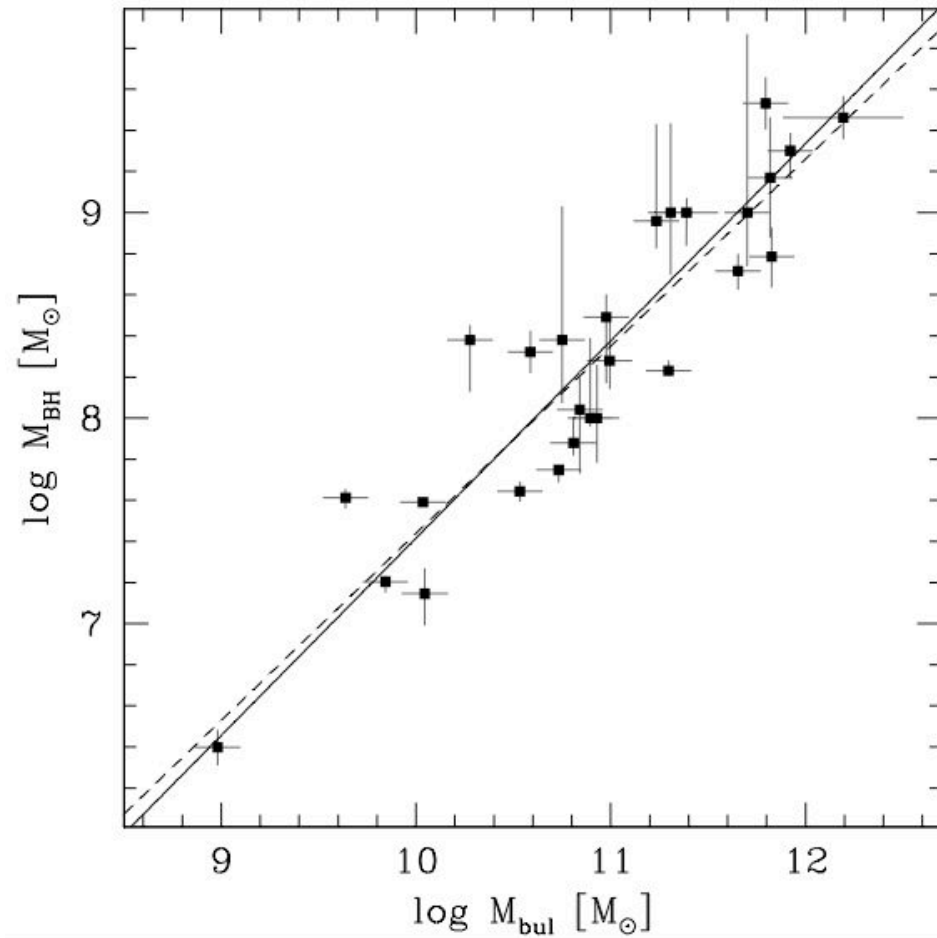
$$\rho_{\text{MDO}} > 10^{19} M_{\odot} \text{ pc}^{-3}$$

NO STAR CLUSTER OF DARK REMNANTS
(NSs or STELLAR MASS BHs)
IS DYNAMICALLY STABLE
(UNSTABLE TO EVAPORATION)

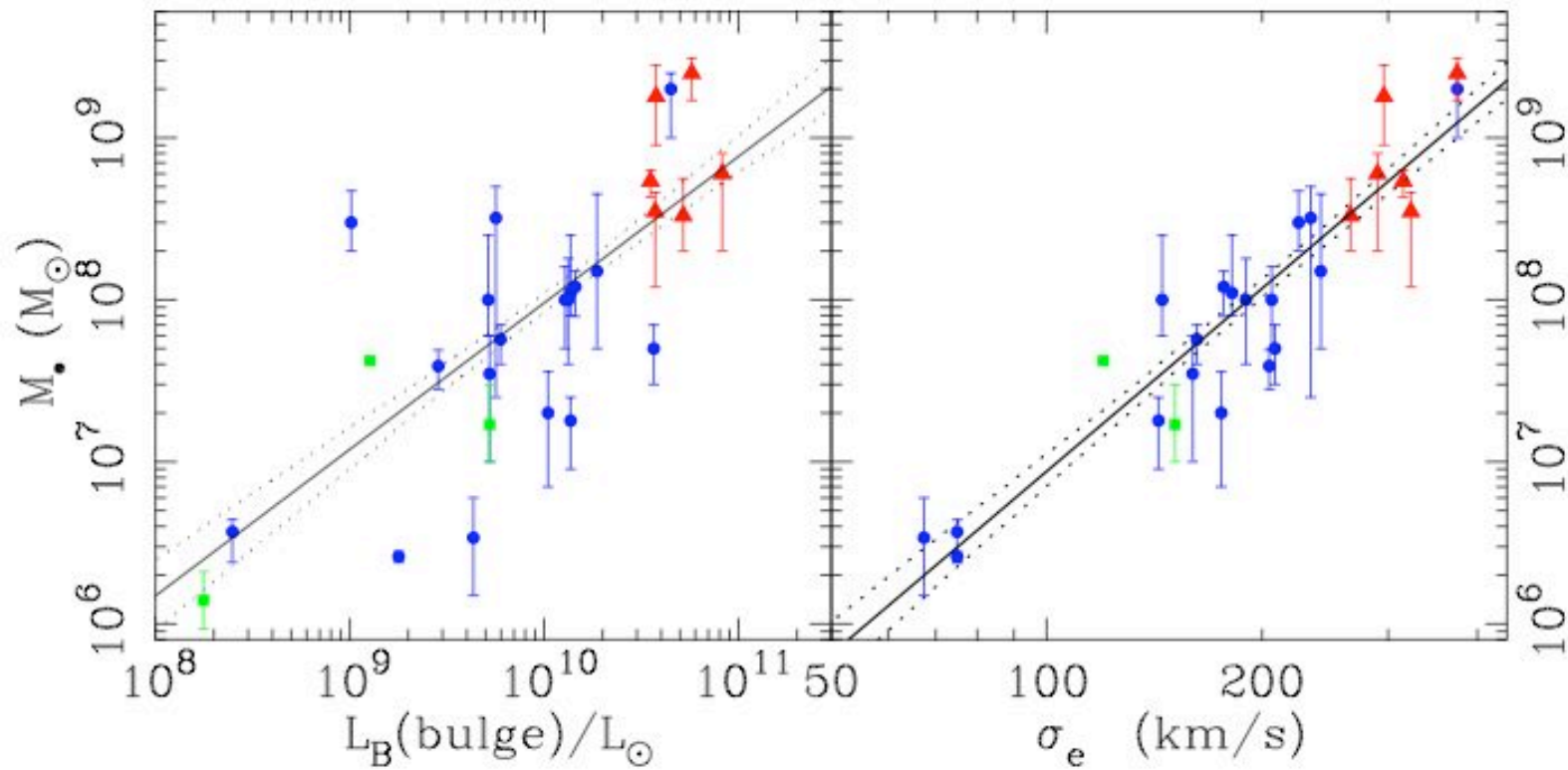
BOSON STARS ?
(fine tuned conditions)

BLACK HOLES ARE UBIQUITOUS IN SPHEROIDS

“in low angular momentum environments ”



Magorian et al. 1999, Ferrarese & Merritt 2000, Gebhardt et al. 2000, Tremaine et al. 2002



LOGARITHMIC SLOPE OF THE M_{BH} - σ RELATION
BETWEEN 4 and 5

BLACK HOLE SPHERE OF INFLUENCE $2GM_{\text{BH}}/\sigma^2$

THE BLACK HOLE

$$L = L_E \propto M_{\text{BH}}$$

THE BULGE of mass M σ

$$E \text{ (Binding Energy)} \sim GM^2/R \propto M \sigma^2$$

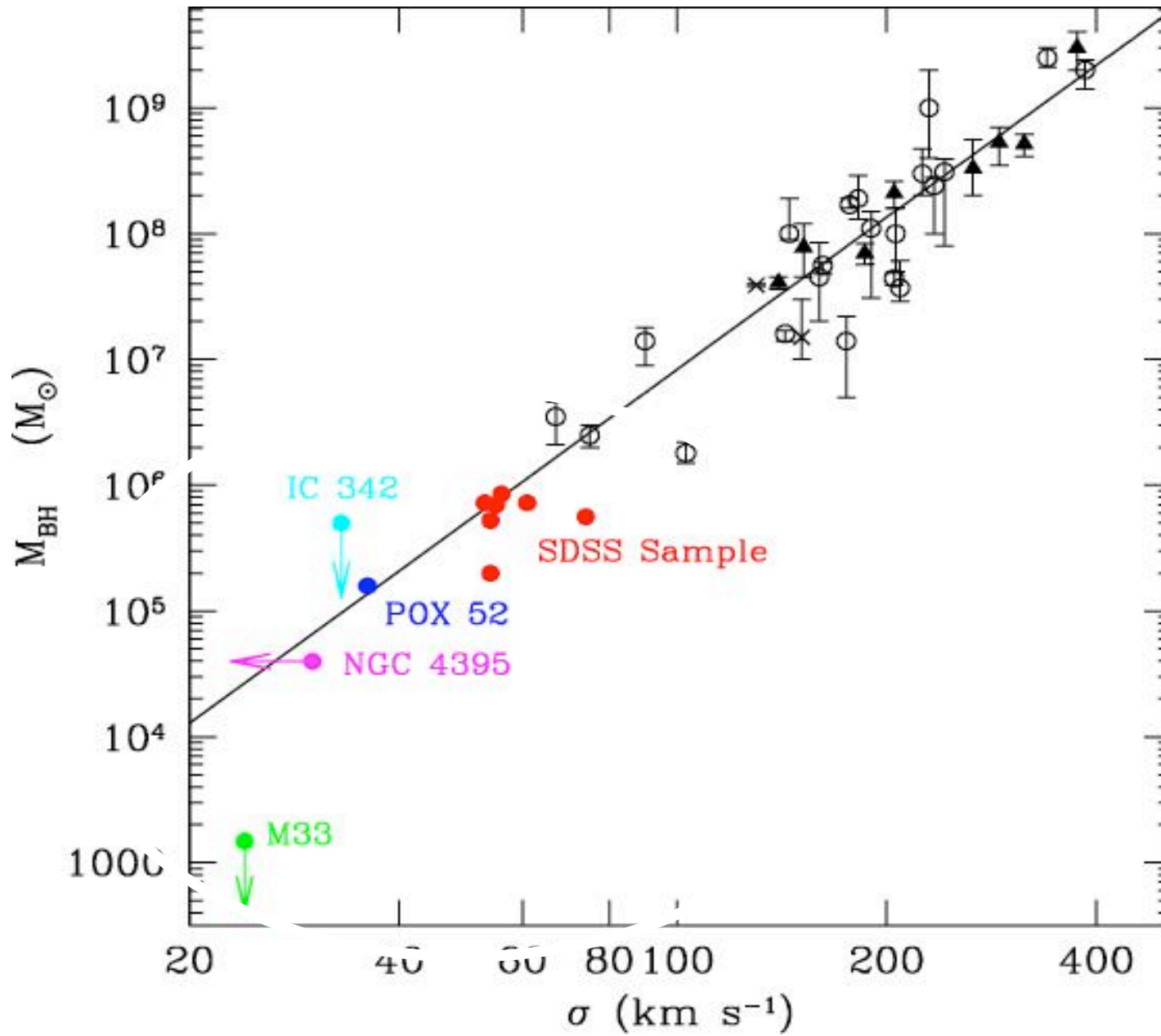
DYNAMICAL TIMESCALE

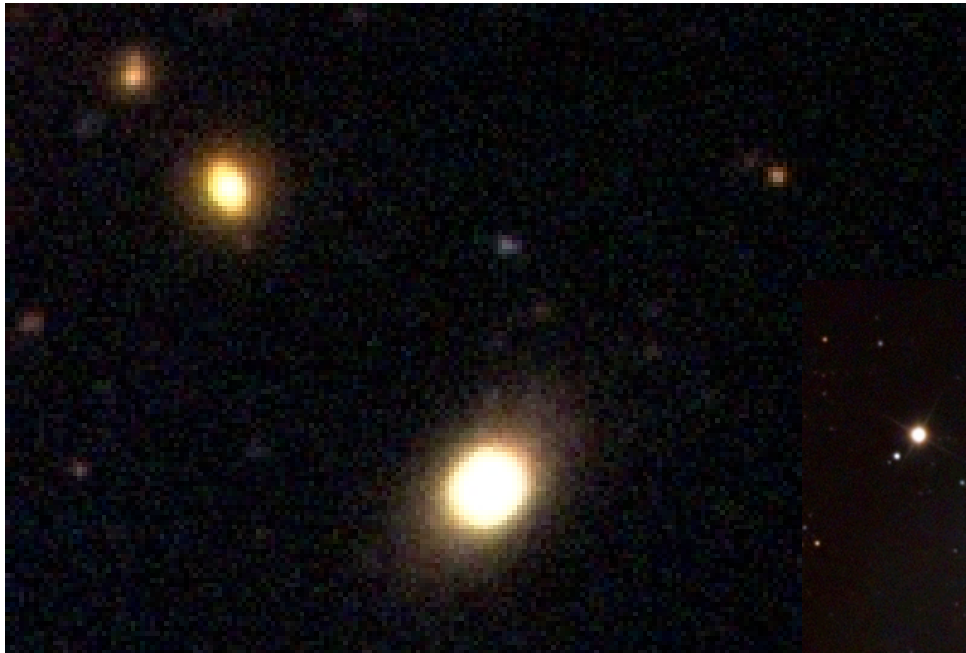
$$\tau \sim R/\sigma \sim GM/\sigma^3$$

$$L\tau = E$$

$$M_{\text{BH}} \propto E/\tau \propto \sigma^5$$

BLACK HOLE SEEDS ?



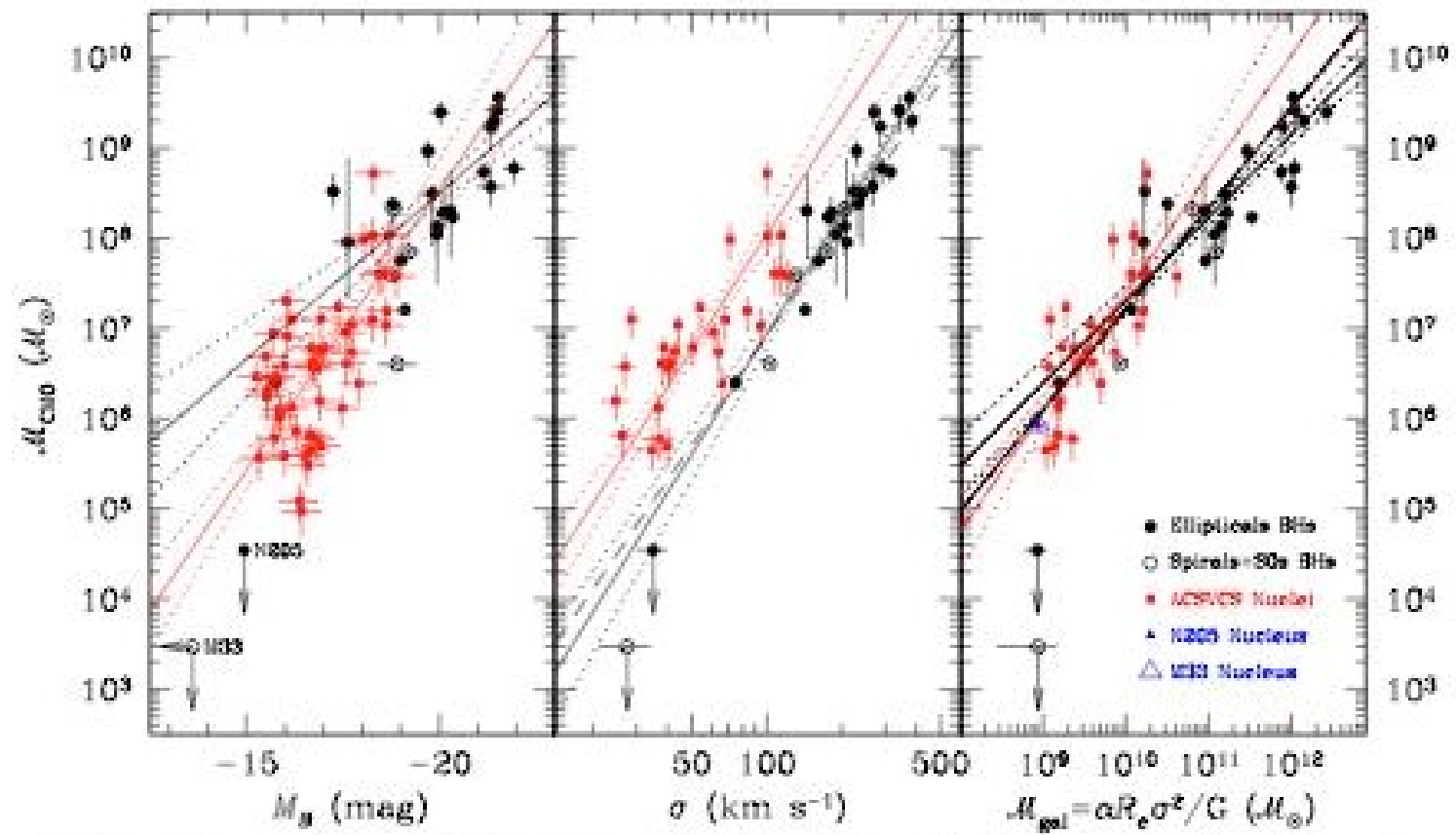


Galaxy M33

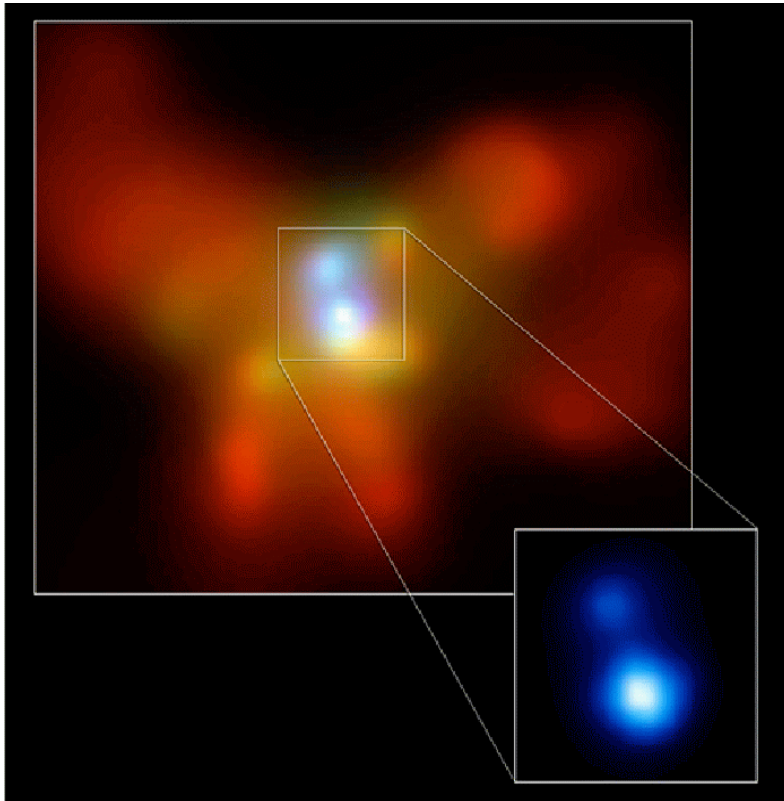


Yuuji Kitahara

A Relation Between Stellar Nuclei and SBHs

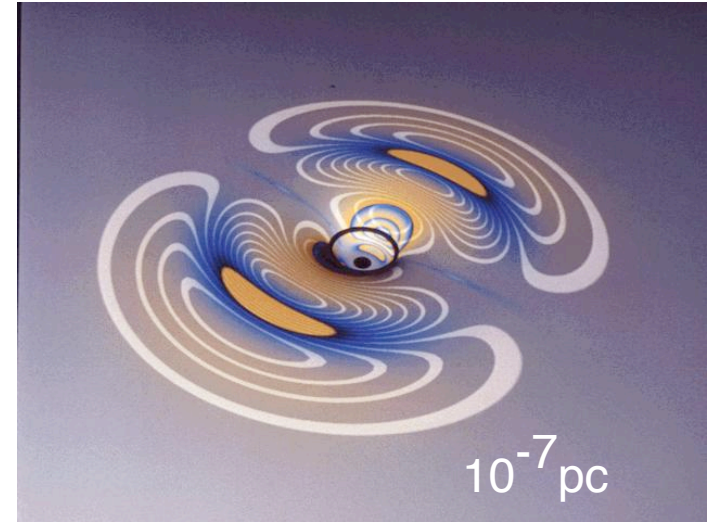
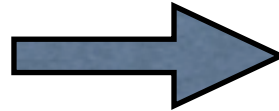


GALAXY COLLISIONS DRIVE THE FORMATION OF CLOSE BLACK HOLE PAIRS



1.4 kpc

NGC 6240 - AN ONGOING MERGER
ACCRETING BLACK HOLES



LASER INTERFEROMETER
SPACE ANTENNA

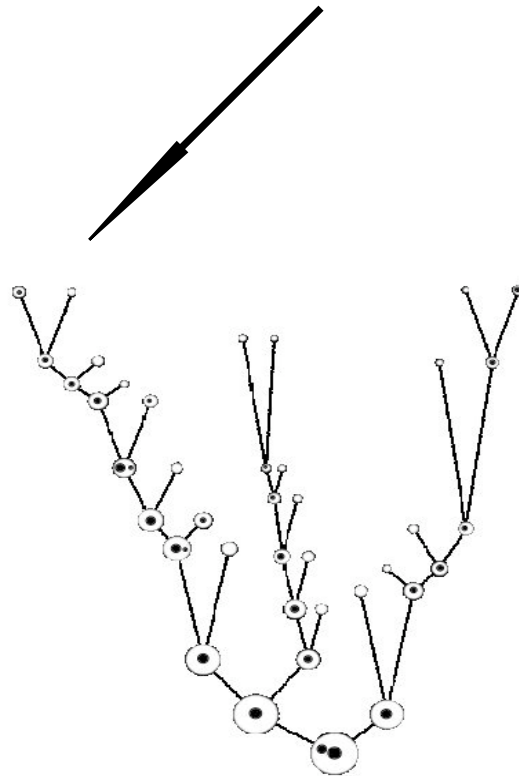
AT LARGE REDSHIFTS

Komossa et al. 1998,2003, Risaliti et al 2006, Greve et al. 2006

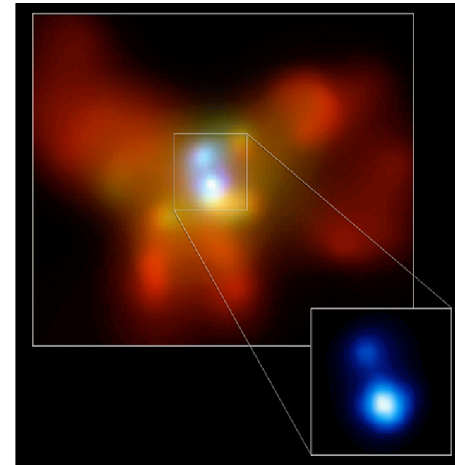
BLACK HOLE FORMATION & EVOLUTION

TWO COMPLEMENTARY APPROACHES

MODELING GALAXY
ASSEMBLY
MONTE CARLO REALIZATIONS
OF MERGER TREES
or/and
COSMOLOGICAL
SIMULATIONS
TO ESTIMATE
THEIR MASS GROWTH
&
THE RATE OF
COALESCENCE



Volonteri, Haardt & Madau 2003



COLLISION BETWEEN
“GALAXIES”
WITH CENTRAL
BLACK HOLES

DETAILING
THE
DYNAMICS

CRITICAL
FOR
ESTABLISHING
THEIR
COALESCENCE

Wyithe & Loeb 2003, Volonteri, Haardt & Madau 2003,
Sesana, Haardt, Madau & Volonteri 2004, Volonteri et al. 2005, Pelupessy, Di Matteo & Ciardi 2007
Escala et al. 2004, 2005, Kazantzidis, Mayer, Colpi et al. 2005, Mayer et al. 2007, Dotti et al. 2007
Springel, Di Matteo & Hernquist 2005, Di Matteo, Springel & Hernquist 2005

“LISA” BLACK HOLES

$$10^6 M_{\odot}$$

(1)

DOES A KEPLERIAN BINARY FORM
IN A COLLISION BETWEEN GAS-RICH GALAXIES ?

100 kpc to 1 pc

(2)

DOES THE BINARY CONTINUE TO DECAY
DOWN TO THE DOMAIN WHERE GRAVITATIONAL WAVE LOSSES
CONTROL THE RATE OF INSPIRAL?
WHAT IS THE ECCENTRICITY EVOLUTION?

1 pc to 0.001 pc

(+)

ELECTROMAGNETIC COUNTERPARTS ?
ALONG THE COURSE OF THE ENTIRE MERGER

1 pc to 0.001pc

MERGERS BETWEEN EQUAL MASS GALAXIES

INITIAL EQUILIBRIUM MODEL
REPRODUCES
A MILKY WAY LIKE GALAXY

HALO - DISC - BULGE - BLACK HOLE

$$\text{HALO MASS} = 10^{12} M_{\odot}$$

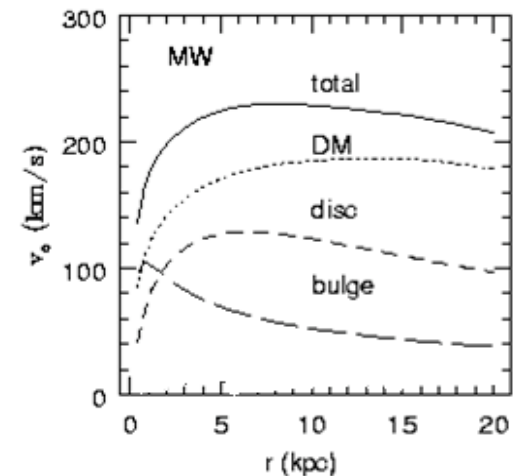
DISC MASS = 0.04 VIRIAL MASS (gas: 0%, **10%**, or 50% of the disc mass)

BULGE MASS (STARS) = 0.008 VIRIAL MASS

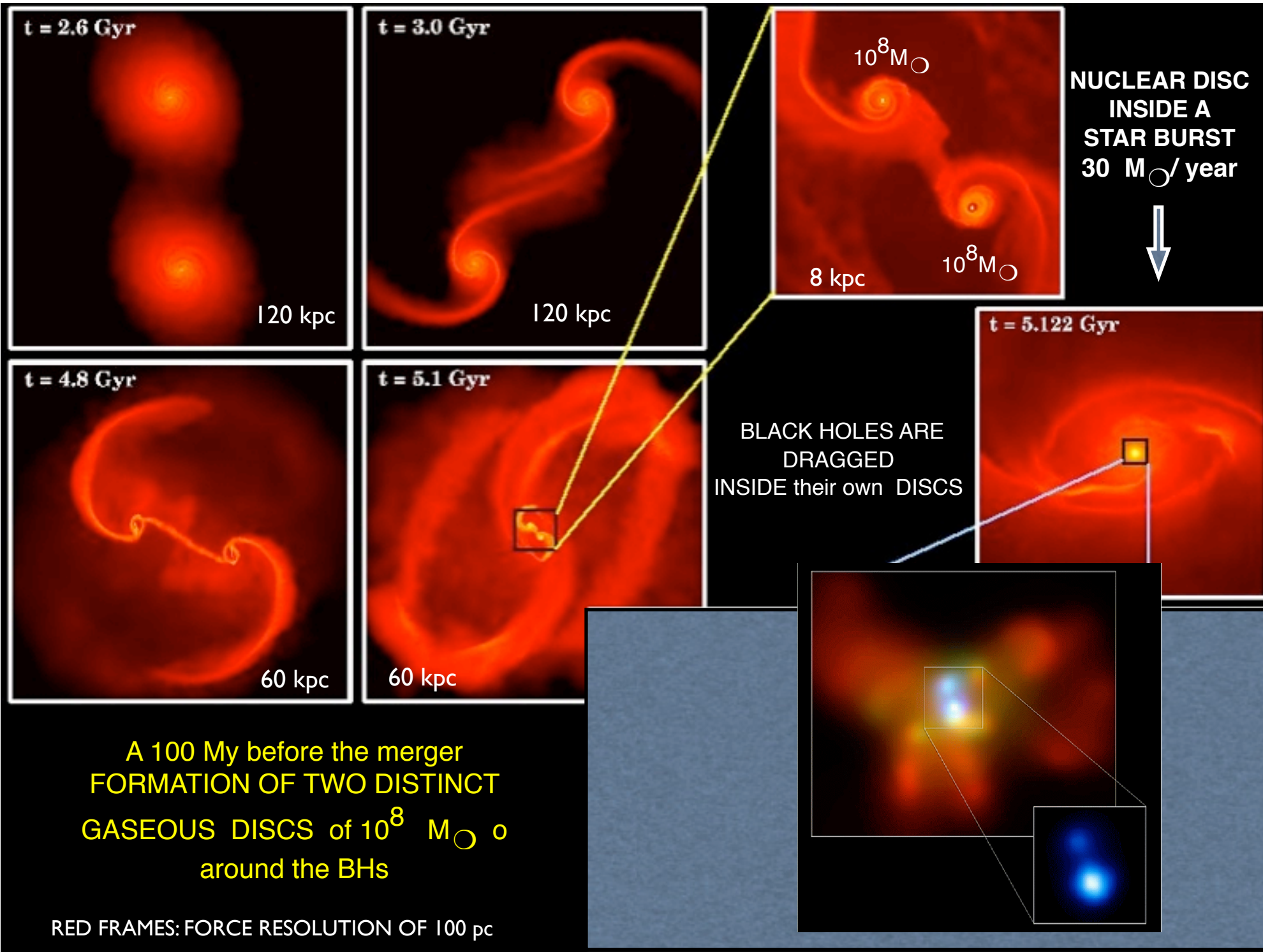
$$\text{BLACK HOLE MASS} = 3 \times 10^6 M_{\odot}$$

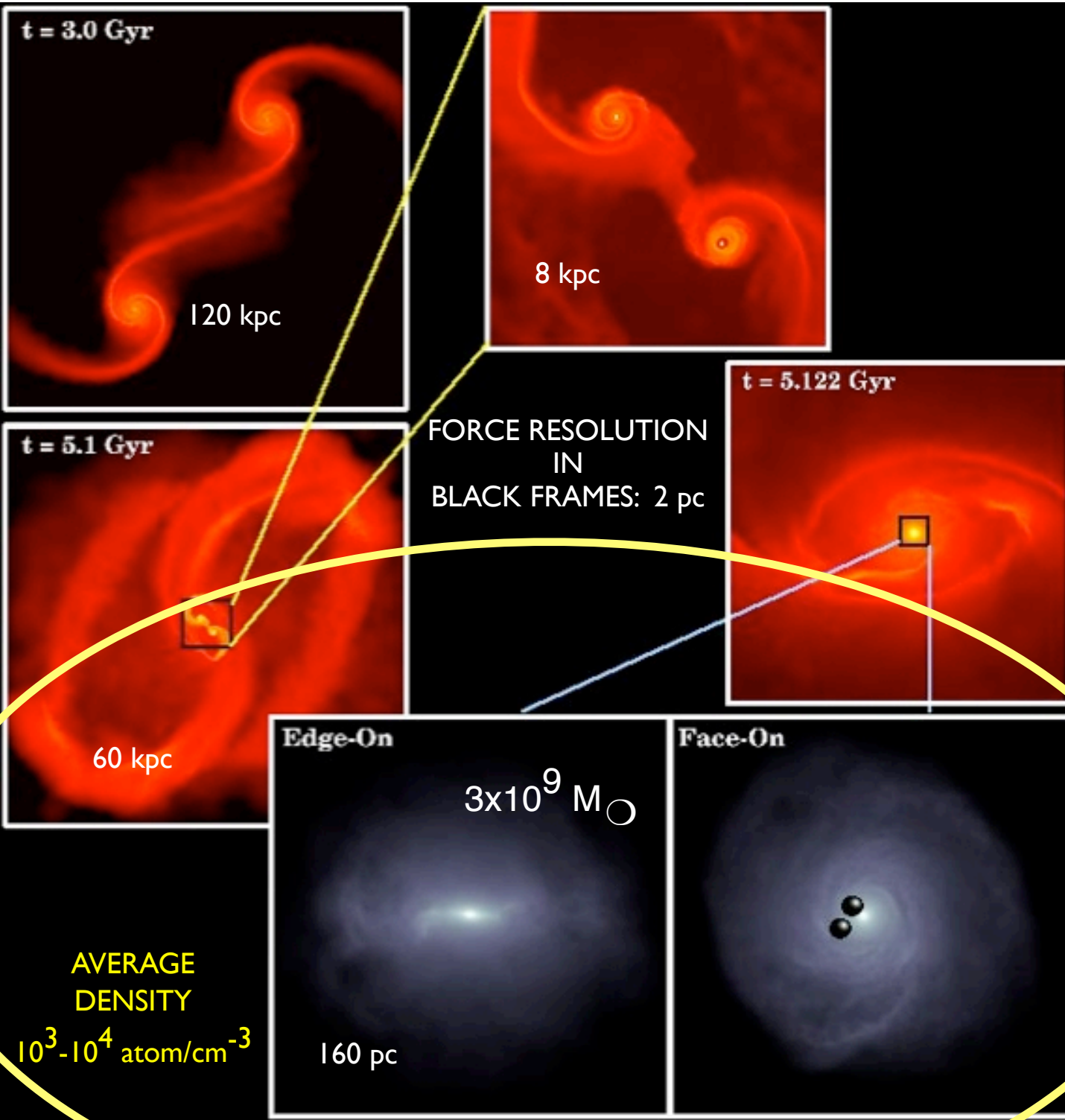
ENERGY EQUATION

SHOCKS & COMPRESSIONAL HEATING
H/ He ATOMIC COOLING
STAR FORMATION



1:1 COPLANAR PROGRADE PARABOLIC ENCOUNTER





MASSIVE NUCLEAR DISC

(RESOLVED WITH UNPRECEDENTED ACCURACY)

MORE MASSIVE THAN THE TWO DISCS)

A BACKGROUND OF DARK MATTER & STARS DISTRIBUTED IN A SPHEROID IS PRESENT AROUND THE DISC BUT

THE GAS IS THE DOMINANT COMPONENT THAT CONTROLS THE BLACK HOLE DYNAMICS

RADIAL INFLOWS

$30-100 \text{ km s}^{-1}$

SHORT-LIVED

lasting 100,000 years

VELOCITY

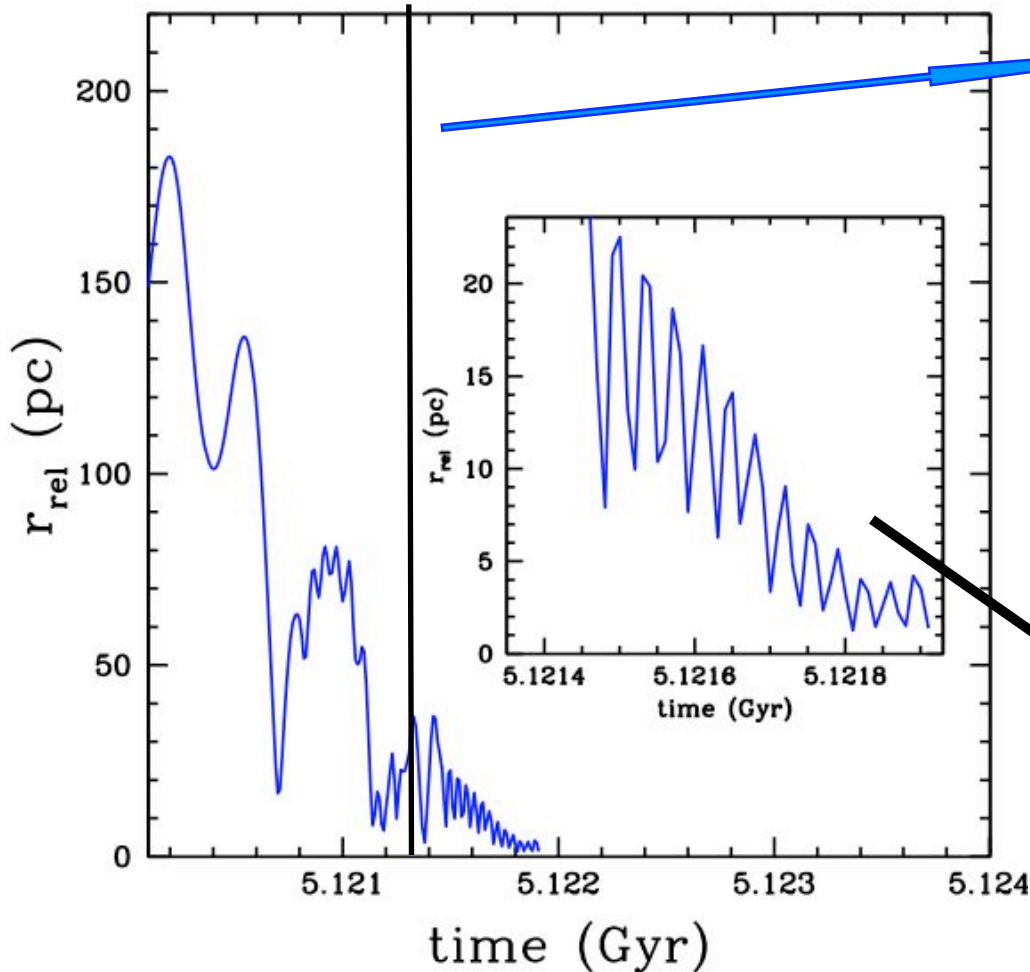
$V_{\text{rot}} = 300 \text{ km s}^{-1}$

$V_{\text{turb}} = 100 \text{ km s}^{-1}$

$V_s = 45 \text{ km s}^{-1}$

ORBITAL SEPARATION OF THE TWO BLACK HOLES IN THE LATEST STAGE OF THE GALAXY MERGER

ORBITAL DECAY IS CONTROLLED BY GRAVITATIONAL DRAG AGAINST THE GASEOUS DISC

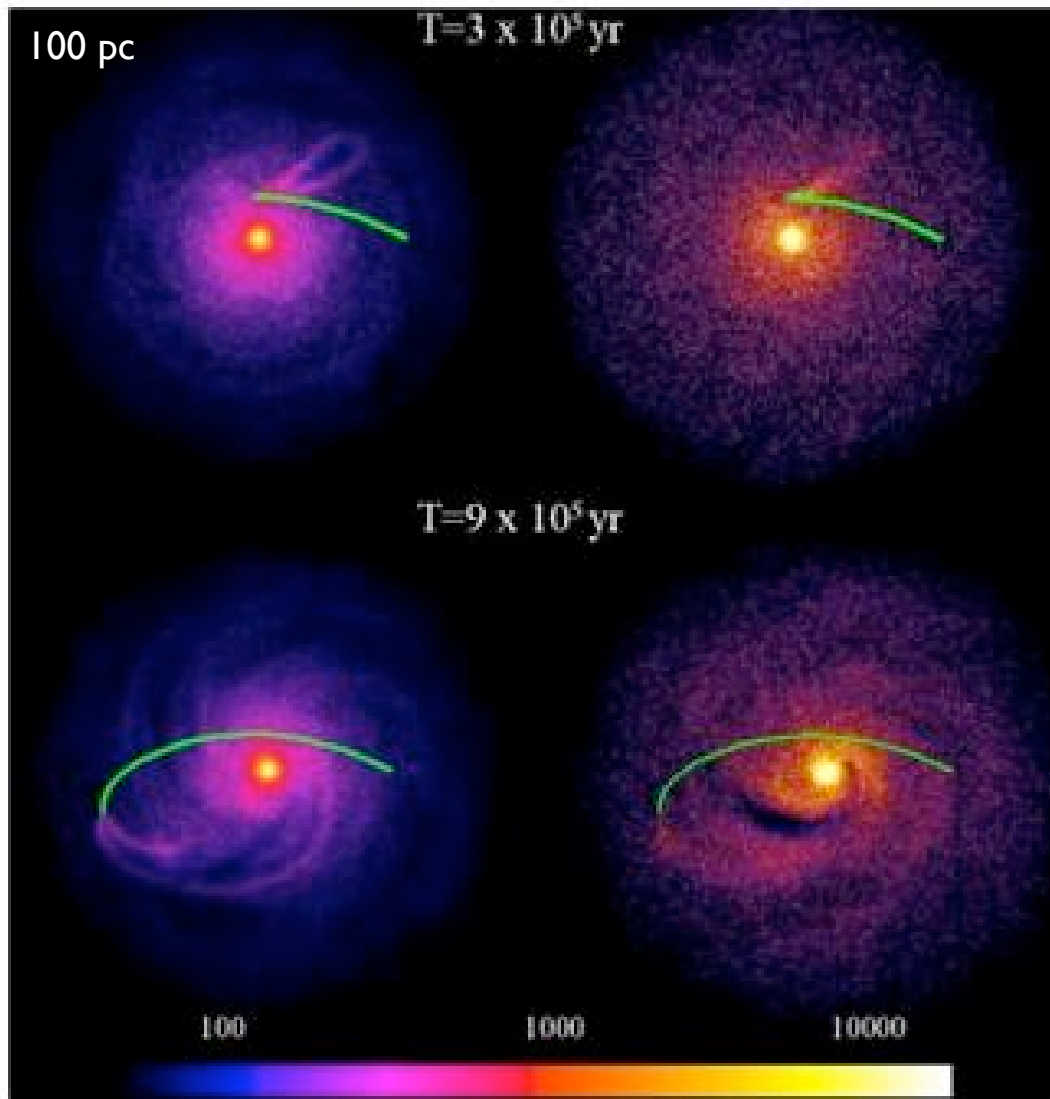


UNTIL $t=5.1215$ Gyr
THE BLACK HOLES ARE
INSIDE THEIR OWN
GASEOUS CORE
&
THE ORBIT IS THE RESULT OF THE
RELATIVE MOTION OF THE CORES
COMBINED WITH THE RELATIVE
MOTION OF EACH BLACK HOLE
RELATIVE TO CORE, EXPLAINING THE
PRESENCE OF MORE ORBITAL
FREQUENCIES

AN ECCENTRIC
BINARY FORMS
($P_{orb}=10^5$ years)

CONTROLLING AGAIN THE PAIRING ... AND THE CIRCULARIZATION

FACE ON z-AVERAGED DENSITY MAP

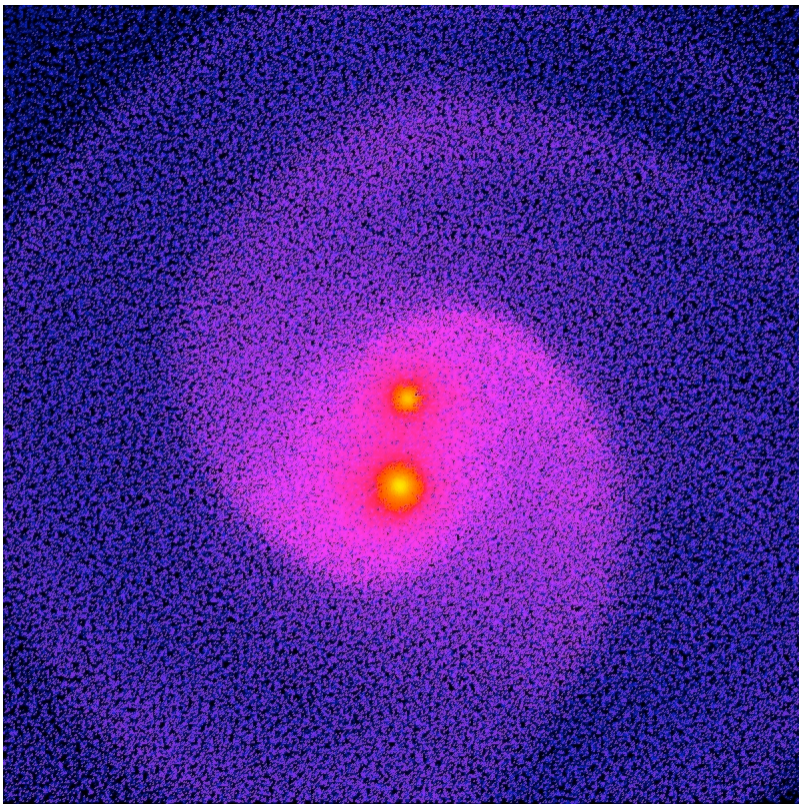


PERICENTER: WAKE
DEVELOPS BEHIND
THE BLACK HOLE
IN THE COOLER, SLOWLIER
ROTATING SIDE

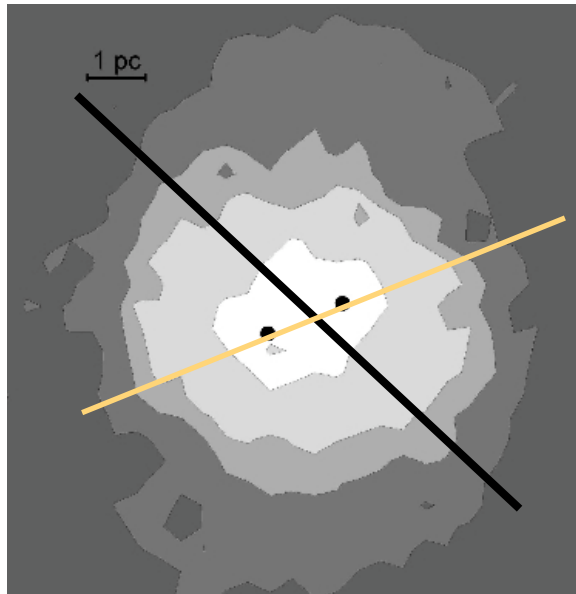
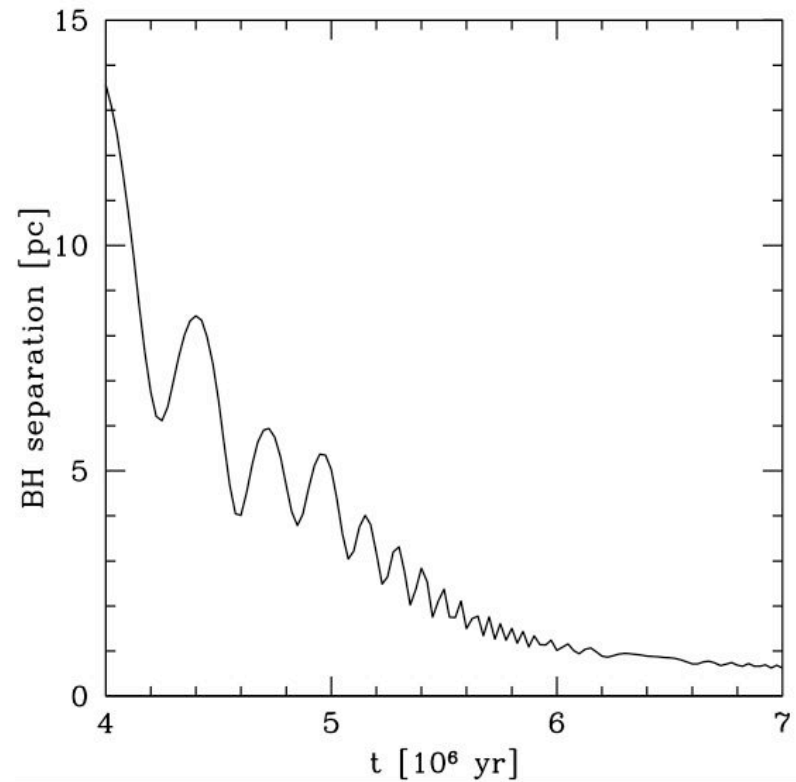
APOCENTER: WAKE
SHIFTS IN FRONT
OF THE BLACK HOLE
ACCELERATED TANGENTIALLY

COROTATING ECCENTRIC
ORBITS BECOME CIRCULAR

**AGN ACTIVITY
MAY DEPEND ON
THE ORBITAL ECCENTRICITY**



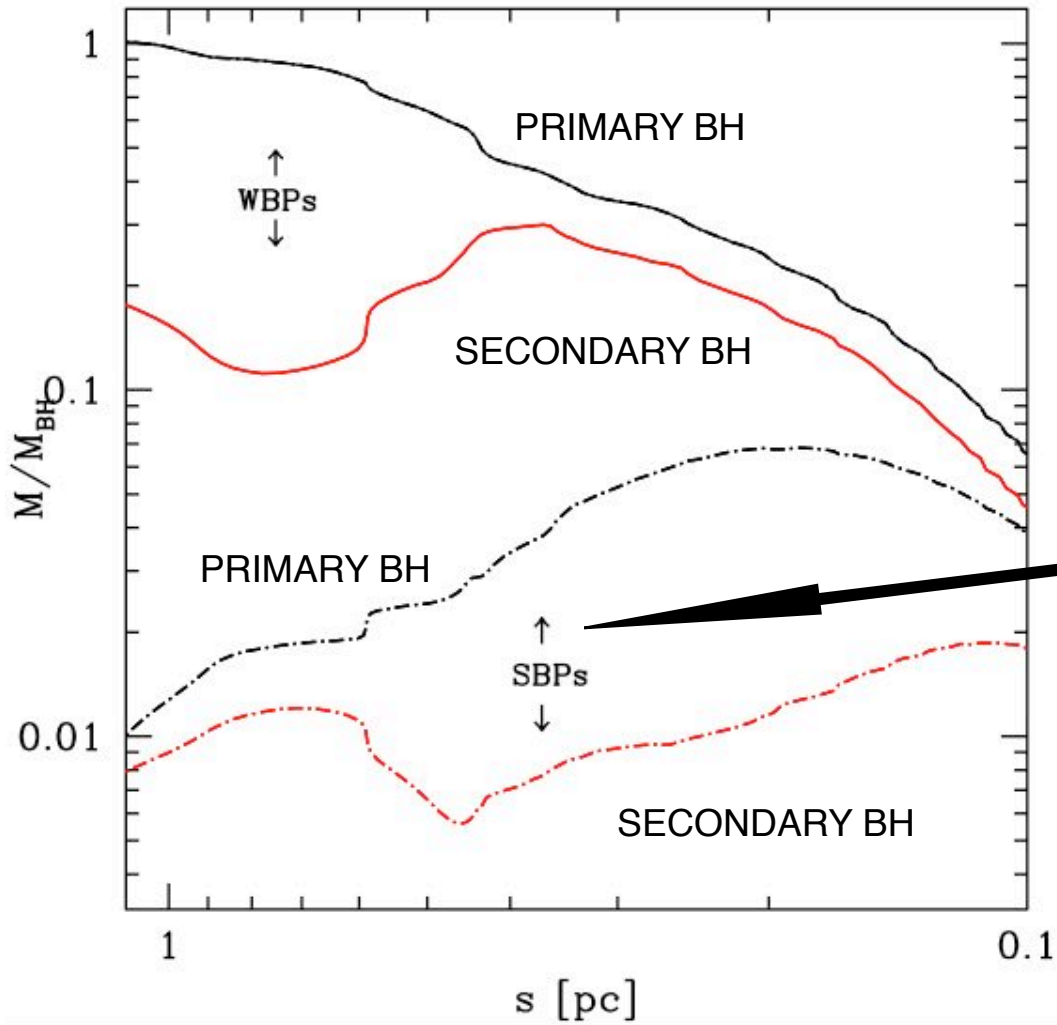
(I)
DYNAMICAL FRICTION AGAINST GAS
BRINGS THE BINARY DOWN 0.1 pc



(II)
ASYMMETRIC FLOW PATTERN IN
THE FORM OF AN
ELLIPSOIDAL DEFORMATION IN A
COOLER ENVIRONMENT ($q_{\text{BH}}=1$)

PROMOTES DECAY
see also Escala et al. 2005

SOLVING FOR THE GRAVITATIONAL SPHERE OF INFLUENCE OF EACH BLACK HOLE



y-axis
BOUND MASS
AROUND EACH
BLACK HOLE

x-axis BLACK HOLE BINARY SEPARATION

MASS
OF STRONGLY
BOUND
GAS PARTICLES
 $10^7 \text{ atoms cm}^{-3}$

THEY HAVE NET
ANGULAR MOMENTUM

↓
FORMATION OF COOL
SMALL
ACCRETION DISC

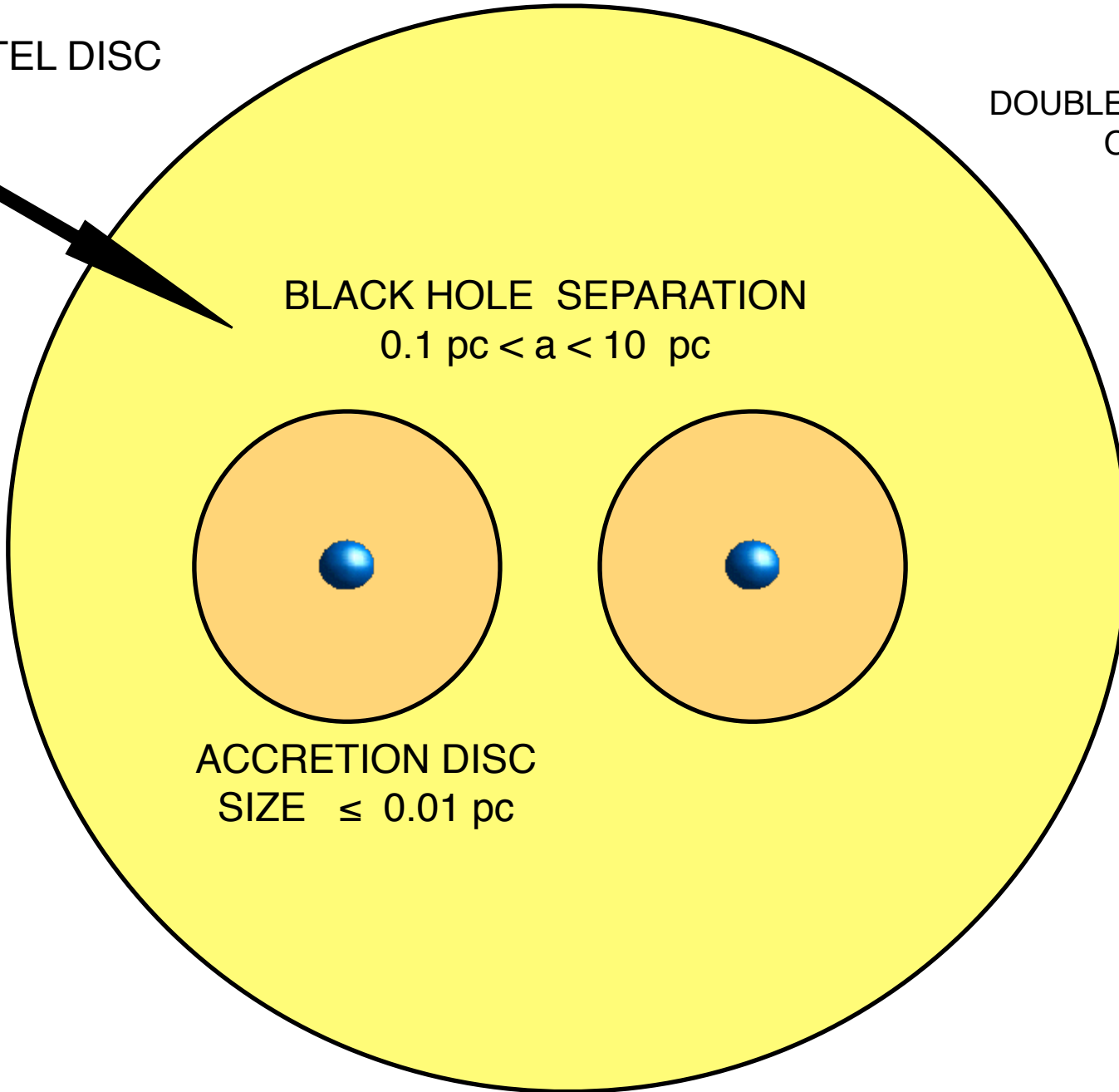
$$t_{\text{acc}} \sim \epsilon / (1 - \epsilon) \tau_S \ln \sim (1 + M_{\text{accSB}} / M_{\text{BH},0})$$

OF THE MESTEL DISC

DOUBLE AGN ACTIVITY
CAN LAST
1-10 Myrs

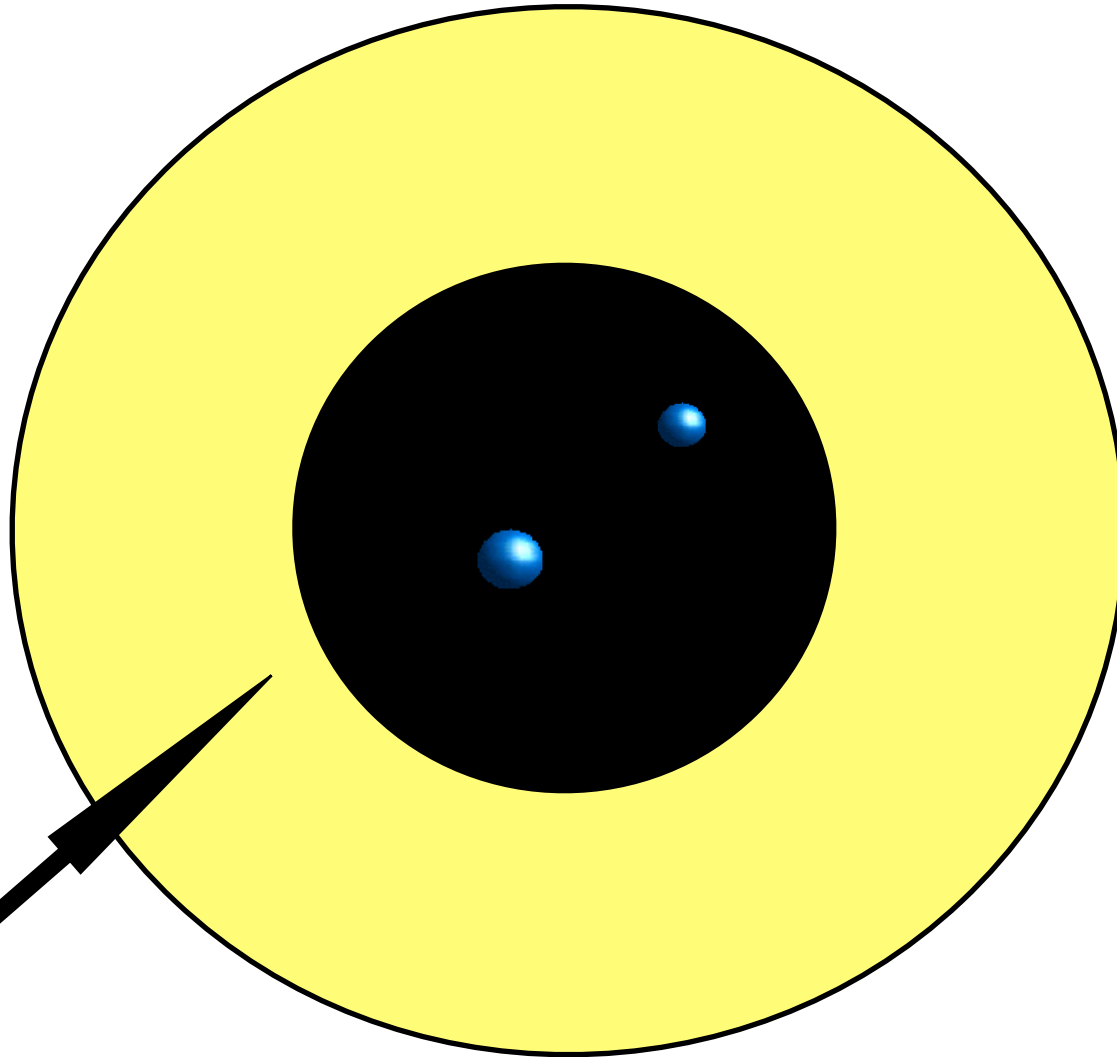
BLACK HOLE SEPARATION
 $0.1 \text{ pc} < a < 10 \text{ pc}$

ACCRETION DISC
SIZE $\leq 0.01 \text{ pc}$



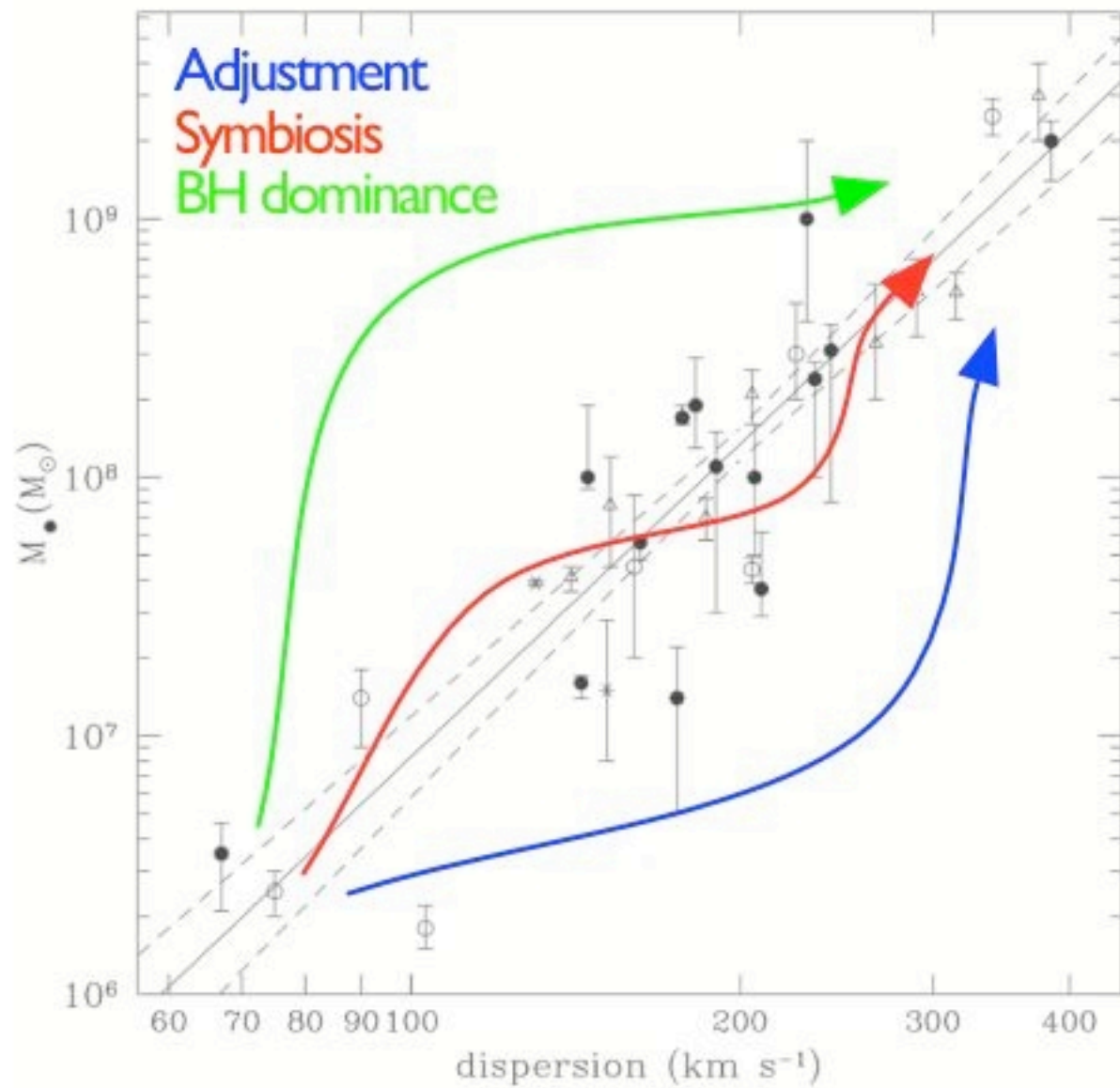
DISC DRIVEN BLACK HOLE
TYPE II MIGRATION
ON THE VISCOUS TIME

NEAR BALANCE
BETWEEN
THE BLACK HOLE
GRAVITATIONAL
TORQUE
and
THE GASEOUS
VISCOUS TORQUE



OUTER CIRCUMBINARY α -DISC

BLACK HOLE
MASS RATIO
 $q_{\text{BH}} < 1$



Coalescence of binary systems

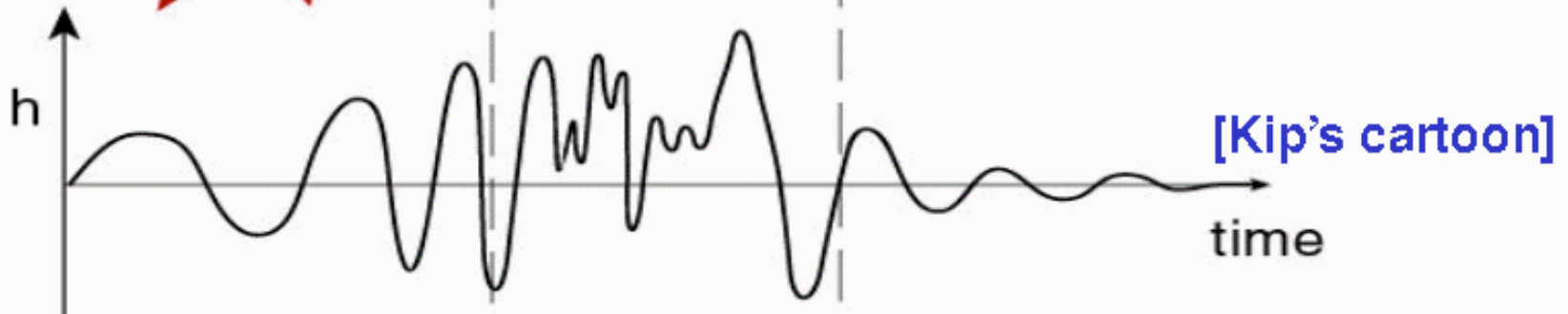
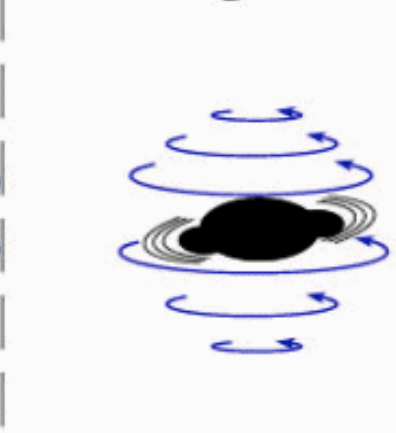
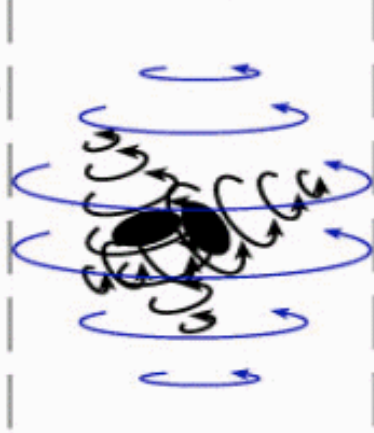
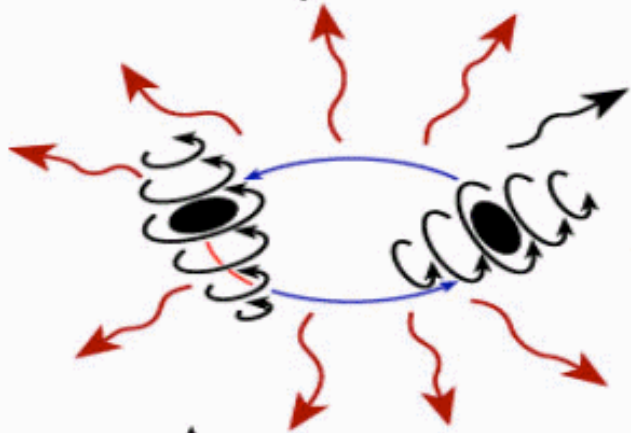
$$f = 4 \left[M (1+z) / 10^3 M_{\odot} \right]^{-1} \text{ Hz}$$

$$f = 32 \left[M (1+z) / 10^3 M_{\odot} \right]^{-1} \text{ Hz}$$

Inspiral

Merger

Ringdown



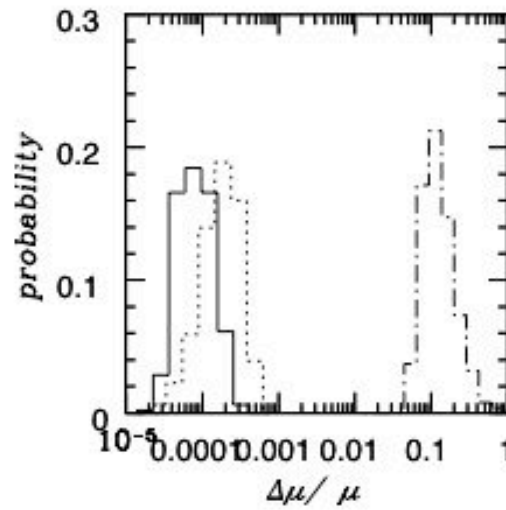
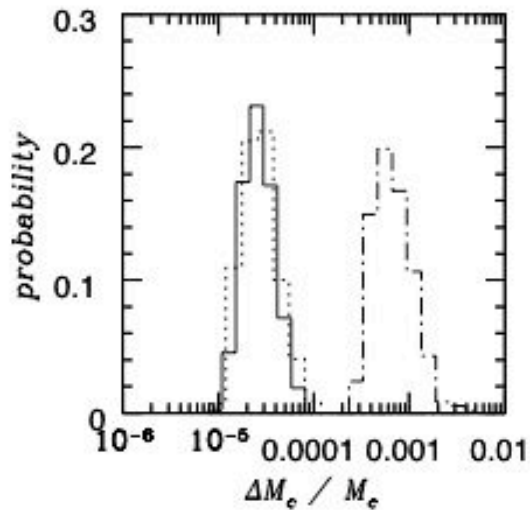
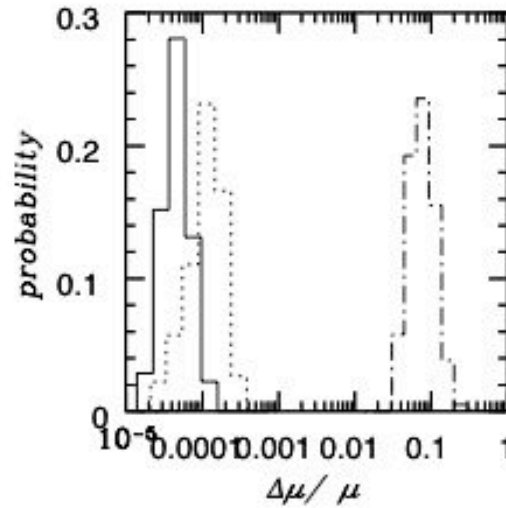
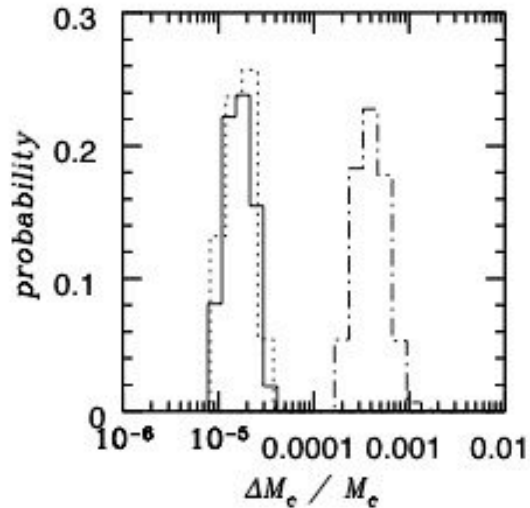
Long lived

Short lived

PROBABILITY
DISTRIBUTION
OF THE ERRORS
IN THE CHIRP MASS
AND REDUCED MASS
DETERMINATION

dashed line zero SPIN

PRECESSING
BINARIES
SHOW A MUCH
GREATER RICHNESS
OF FEATURES THAN
NON SPINNING
BINARIES

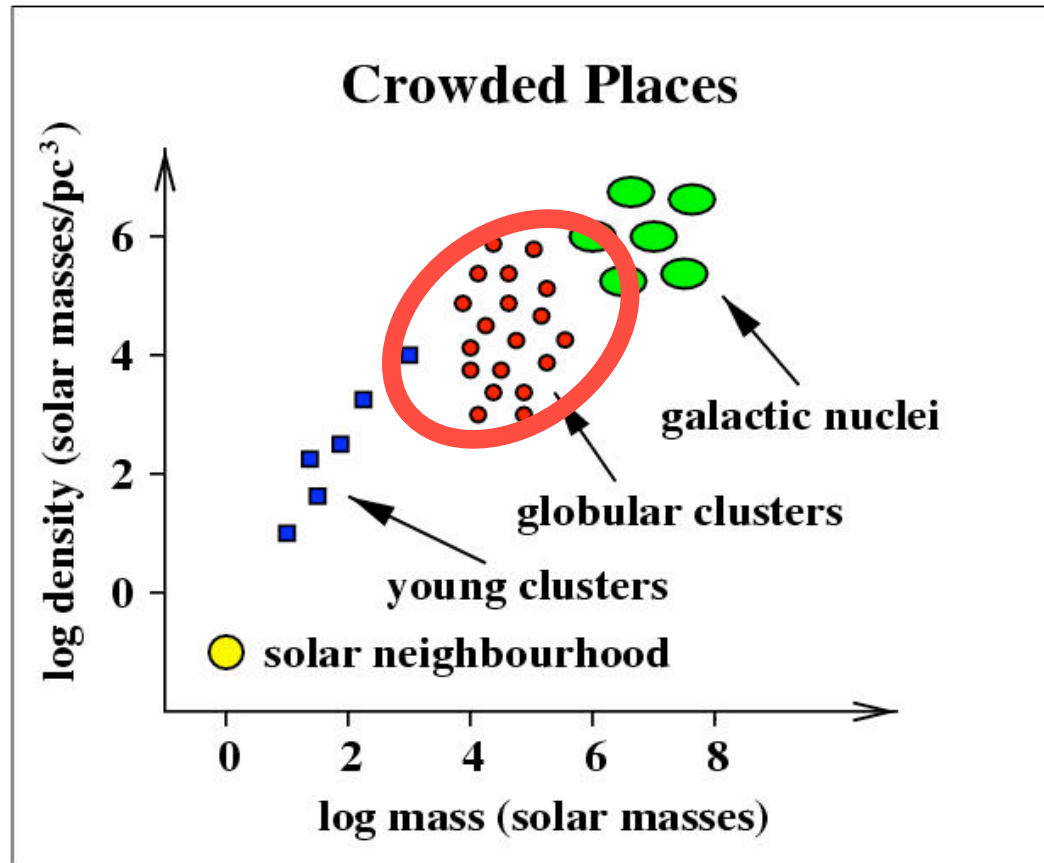


SEARCH
OF
INTERMEDIATE MASS BLACK HOLES
IN THE LOCAL UNIVERSE

IMBHs

100 - 10,000 solar masses

IN LOW VELOCITY DISPERSION SPHEROIDS ?



RELAXATION TIMES
& DYNAMICAL
FRICTION TIMES
ARE
SHORTER
THAN COSMIC TIME

$$\tau_{\text{rel}} \propto \sigma^3 / n$$

$$\tau_{\text{dyn}} \propto \tau_{\text{rel}} / m$$

GLOBULAR CLUSTER

G1:

the interpretation of the surface brightness profile (HST) and of the velocity profile (Keck spectra)

suggests

the presence of a

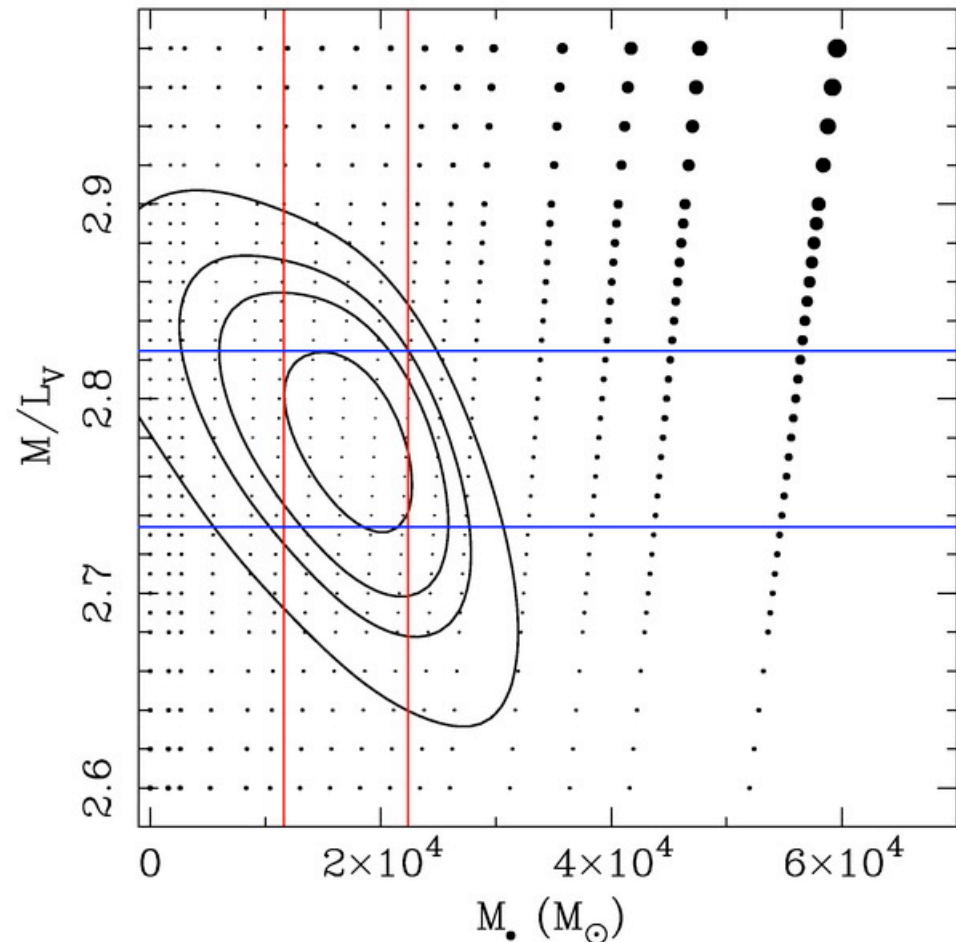
$1.8 (\pm 0.5) \times 10^4 M_{\odot}$

IMBH

on the basis of axisymmetric models

SPHERE OF INFLUENCE

GM/σ^2 IS ONLY $0.035''$
stellar light $0.1''$ (HST)



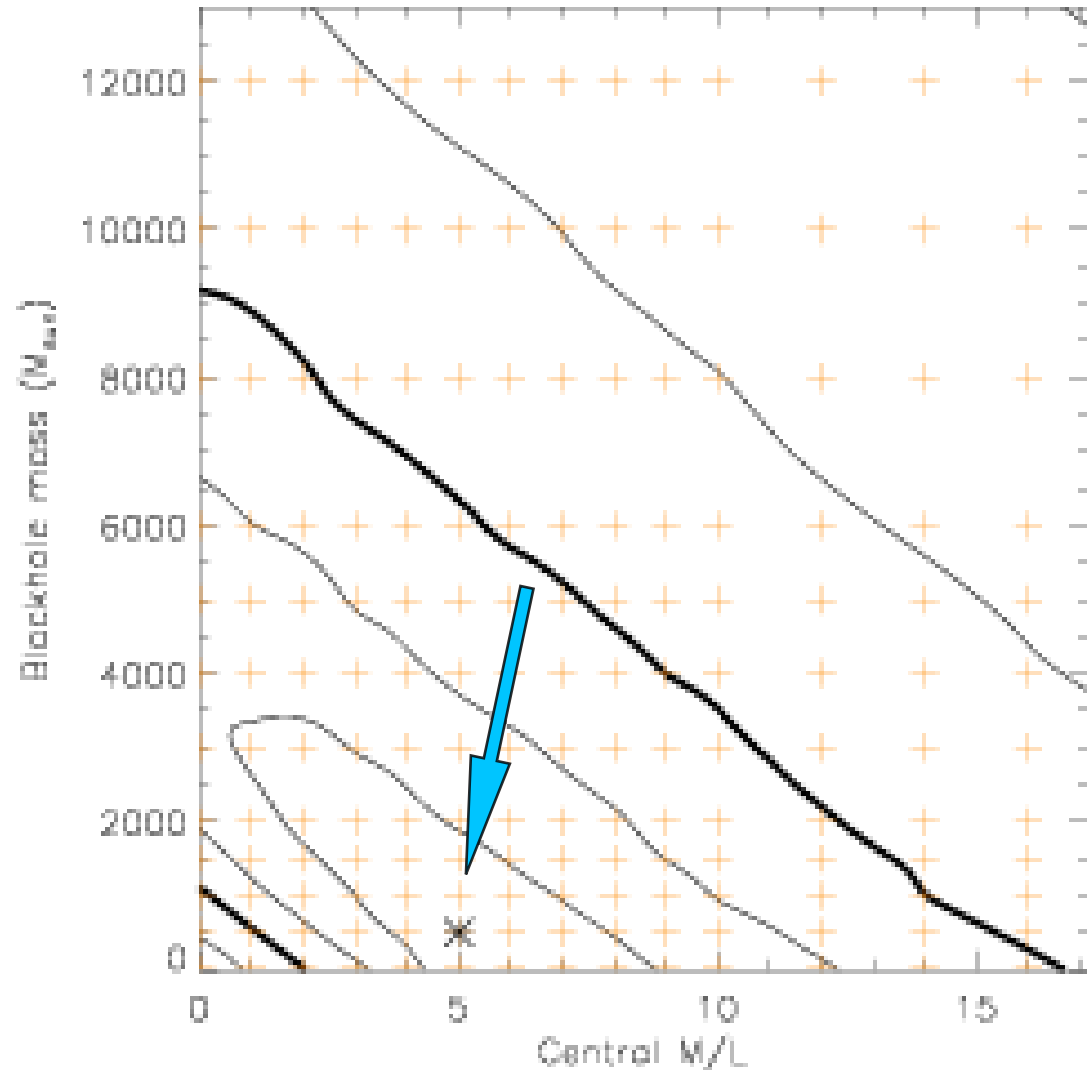
Gebhardt, Rich, Ho 2005

M15:

Orbit-based **axisymmetric dynamical models**
fit ground and HST
line of sight velocities and
proper motions

- (a) to constrain the M/L ratio as a function of radius
- (b) to infer a limit on the mass of $3400 M_{\odot}$ in the central 0.05 pc
--> a density of $7 \times 10^6 M_{\odot} / \text{pc}^3$.

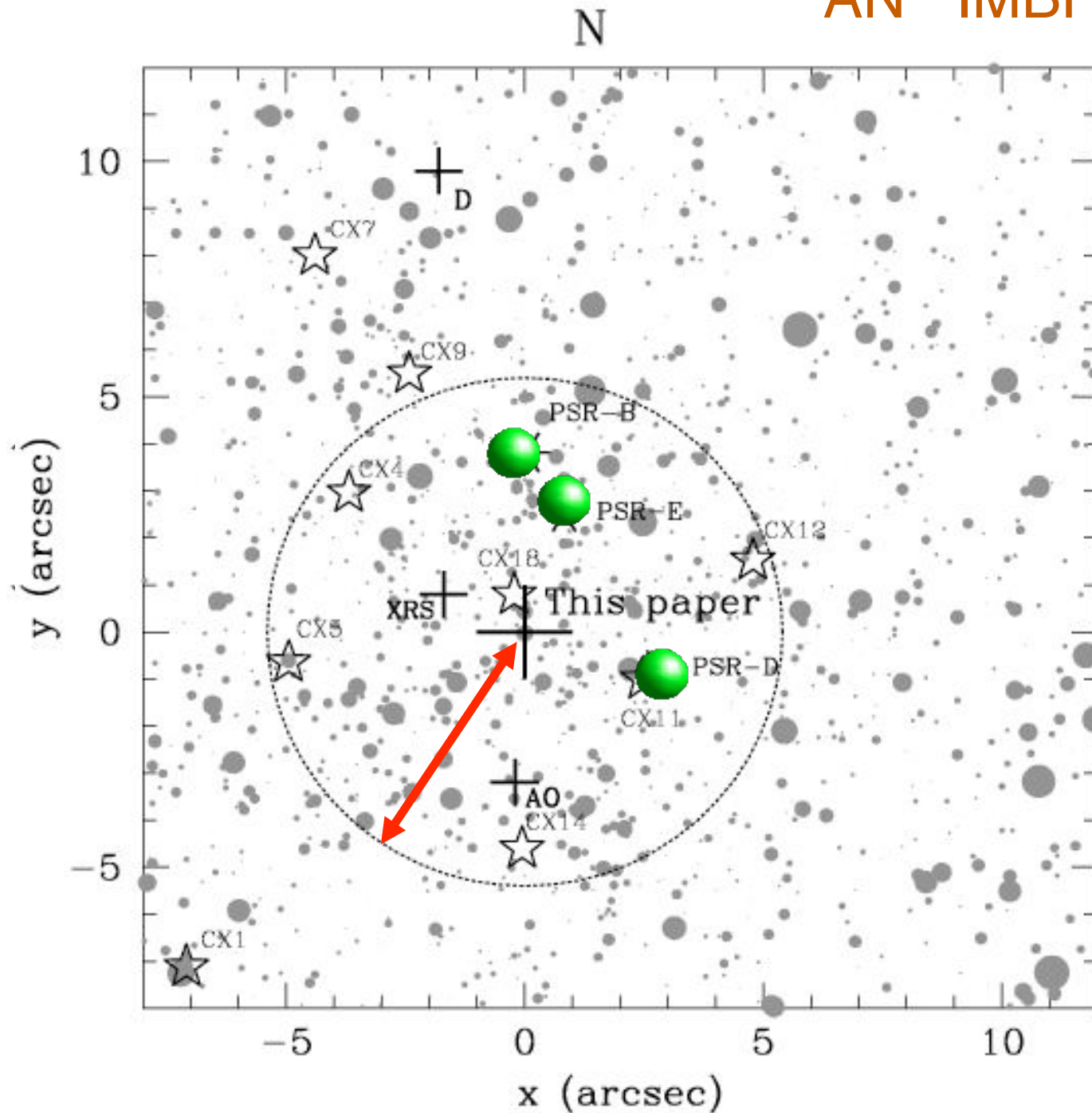
The central $4''$ appears to rotate.



The inner three marginalized χ^2
contours are drawn at formal 68.3%,
95.4%, and 99.7%

Van den Bosch et al. 2005

AN IMBH IN NGC 6752 ?



3 PULSARS

CORE RADIUS 0.11 pc

PULSAR PROJECTED
DISTANCE FROM
THE CENTER

0.08 pc

WITH LARGE
AND NEGATIVE
PERIOD DERIVATIVES
IMPLYING MORE
THAN

2000 M_{\odot}
of **UNDERLUMINOUS
MATTER**

Ferraro et al. 2003

D'Amico et al. 2002

Colpi et al. 2003

SCENARIOS FOR THE FORMATION OF IMBH

- (I) MASS SEGREGATION OF COMPACT REMNANTS IN
GLOBULAR CLUSTERS

- (II) RUNAWAY COLLISIONS OF MASSIVE STARS
IN YOUNG DENSE STAR CLUSTERS

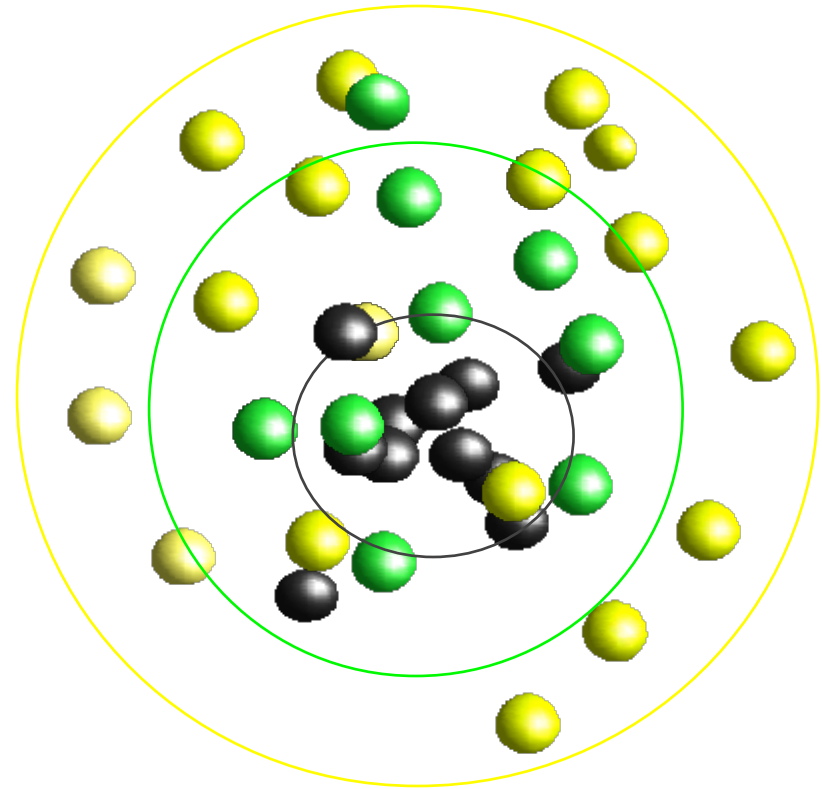
Sigurdsson & Hernquist 1993
Kulkarni et al. 1993
Miller et al. 2004
Portegies Zwart et al. 2004
Colpi et al., 2002, 2004, 2005

(I) MASS SEGREGATION OF COMPACT REMNANTS IN GLOBULAR CLUSTERS

DYNAMICAL FRICTION LEADS TO
MASS SEGREGATION
BH CONFINEMENT IN THE CORE

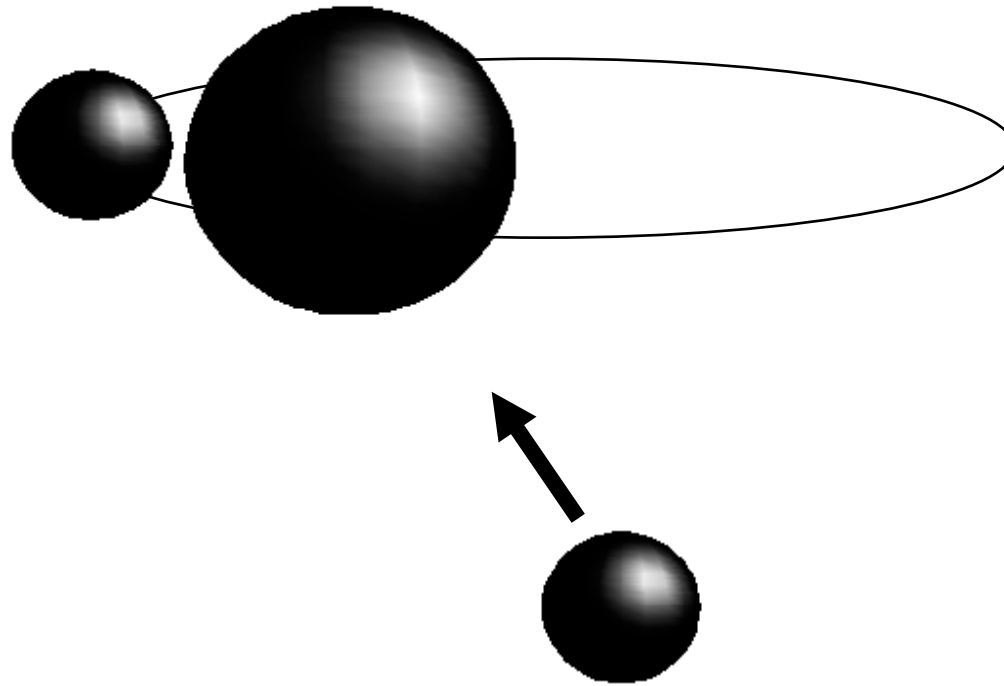
3-BODY EXCHANGES
INVOLVING STARS & BLACK HOLES
BUILD UP OF A POPULATION OF
BLACK HOLES IN BINARIES
EVOLVING ALMOST IN ISOLATION

SPITZER INSTABILITY



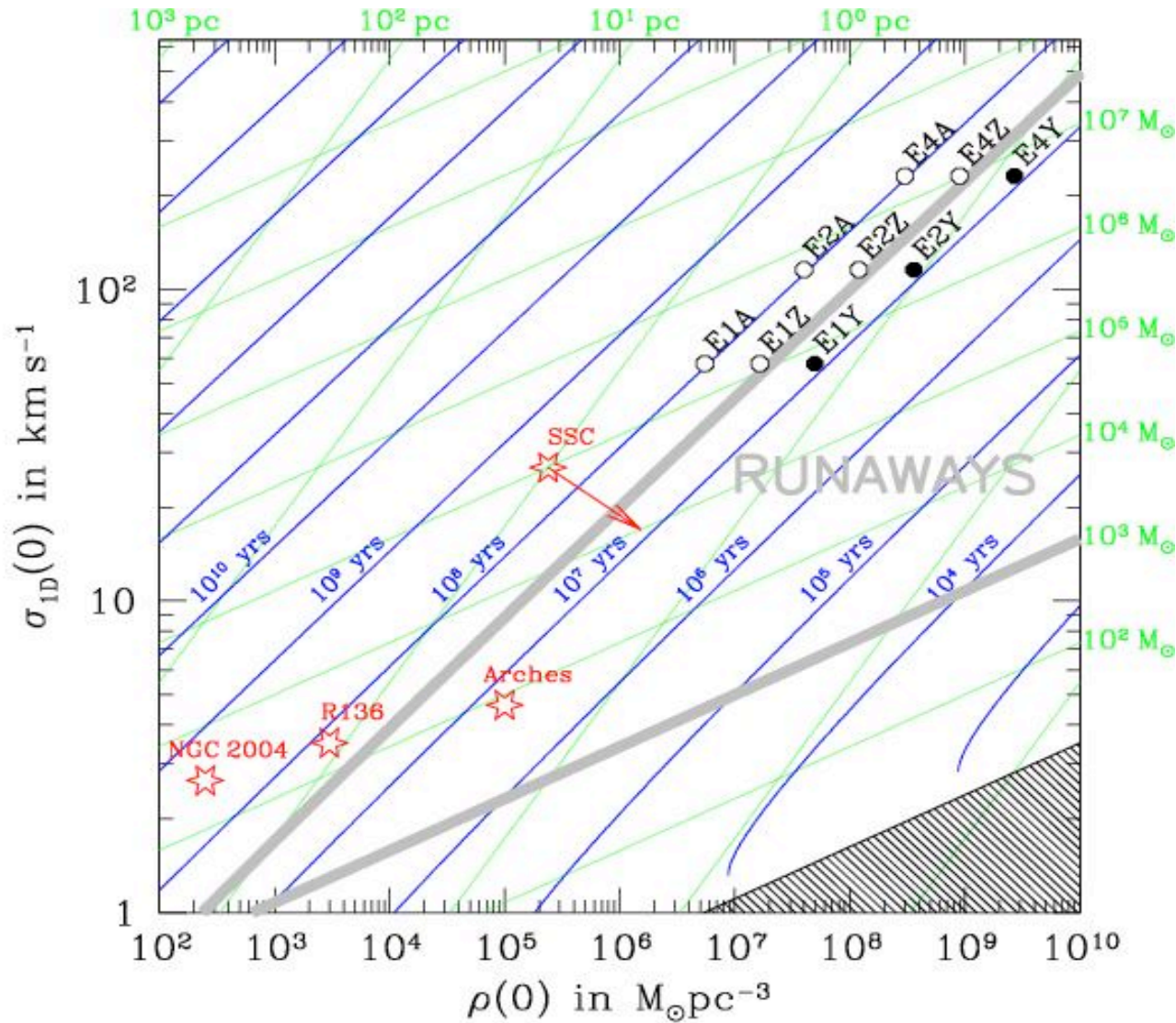
Kulkarni, Hut, & McMillan 1993
Sigurdsson & Phinney 1993

SOME BINARY BLACK HOLE
EXPERIENCES COALESCENCE BY EMISSION OF GRAVITATIONAL
WAVES before EXPERIENCING A LARGE RECOIL FOR FINAL ESCAPE



HIERARCHICAL
BLACK HOLE
MASS GROWTH
THROUGH A CHAIN
OF EXCHANGES
AND MERGERS

IMBH ?
SINGLE ?
BINARY ?



RELAXATION TIMES
& DYNAMICAL
FRICTION TIMES
SHORTER
THAN 1 Myears

$$\tau_{\text{rel}} \propto \sigma^{3/n}$$

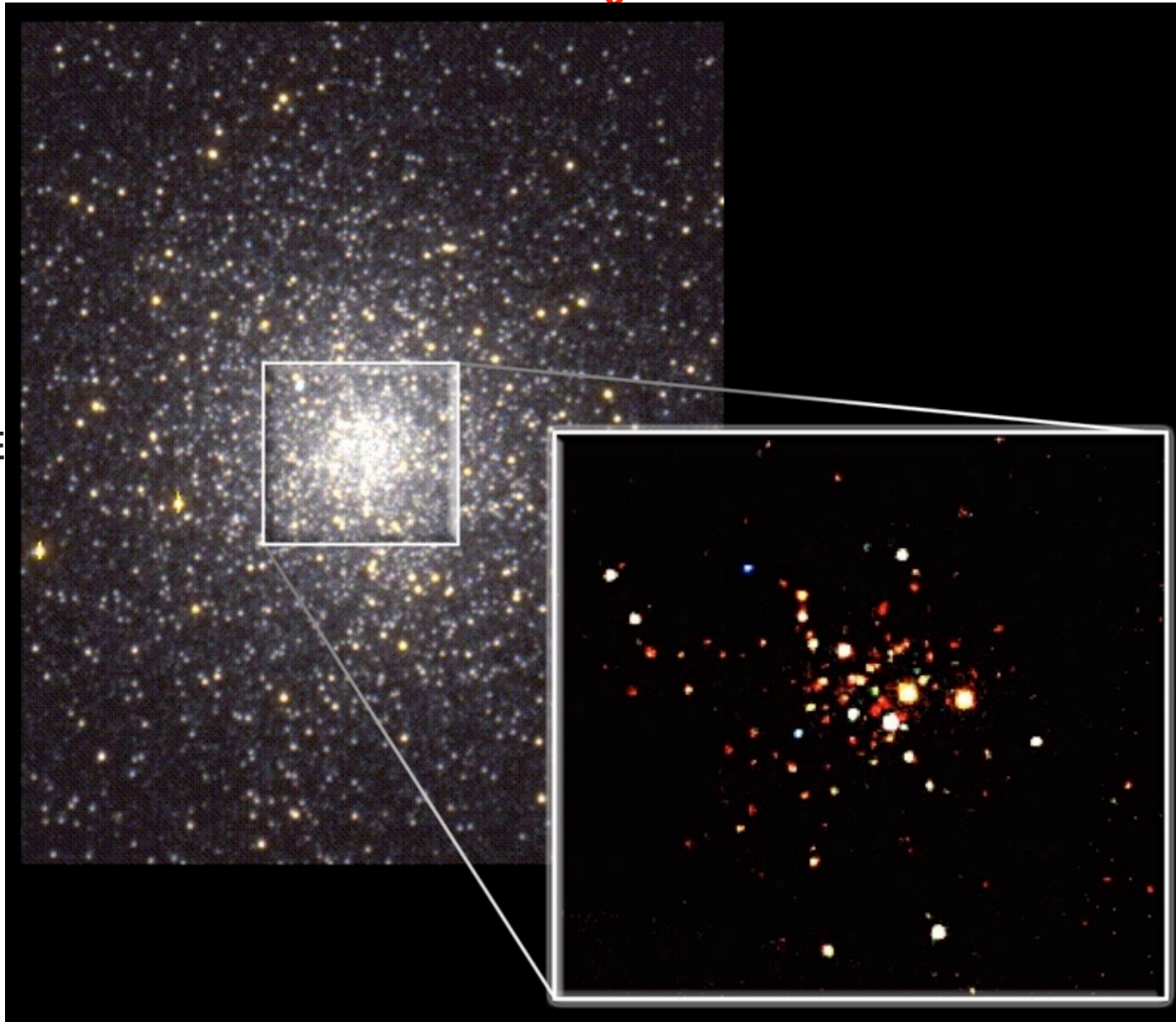
$$\tau_{\text{dyn}} \propto \tau_{\text{rel}}/m$$

SPECIAL CONDITIONS TO GROW AN IMBH IN A YOUNG
STAR CLUSTER !

STELLAR MASS BLACK HOLE CANDIDATES

8

° ACCRE



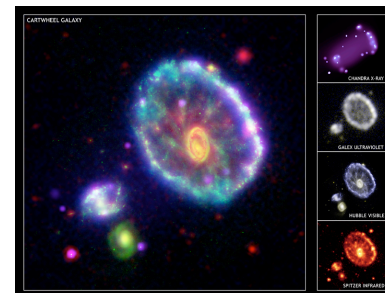
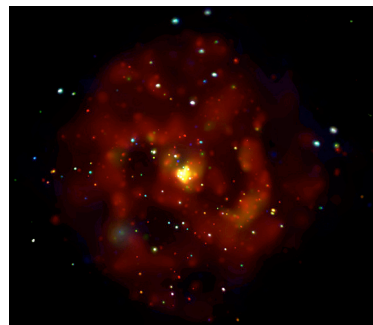
FIELD
OBULAR

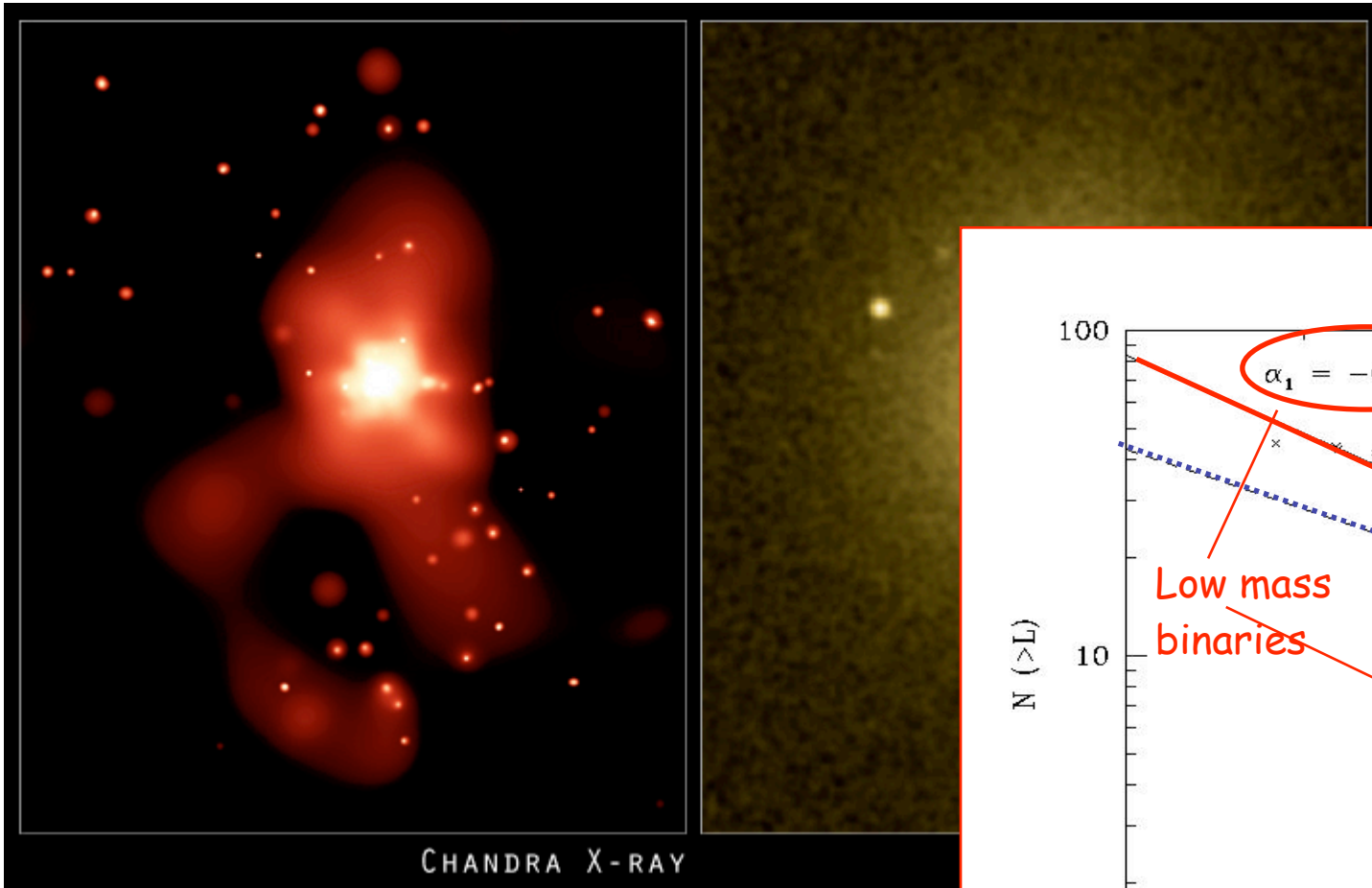
STELLAR MASS BLACK HOLE CANDIDATES & XRBs IN EXTERNAL GALAXIES

XRBs HAVE BEEN DISCOVERED WITH EINSTEIN BUT MAJOR ADVANCES
OCCURRED MAINLY WITH THE ADVENT OF
CHANDRA & XMM NEWTON

ONLY THE VERY BRIGHT XRBs ARE DETECTED

+“EASY TO SEE” SPATIAL DISTRIBUTION
LARGE SAMPLE
- DIFFICULT TO STUDY INDIVIDUALLY

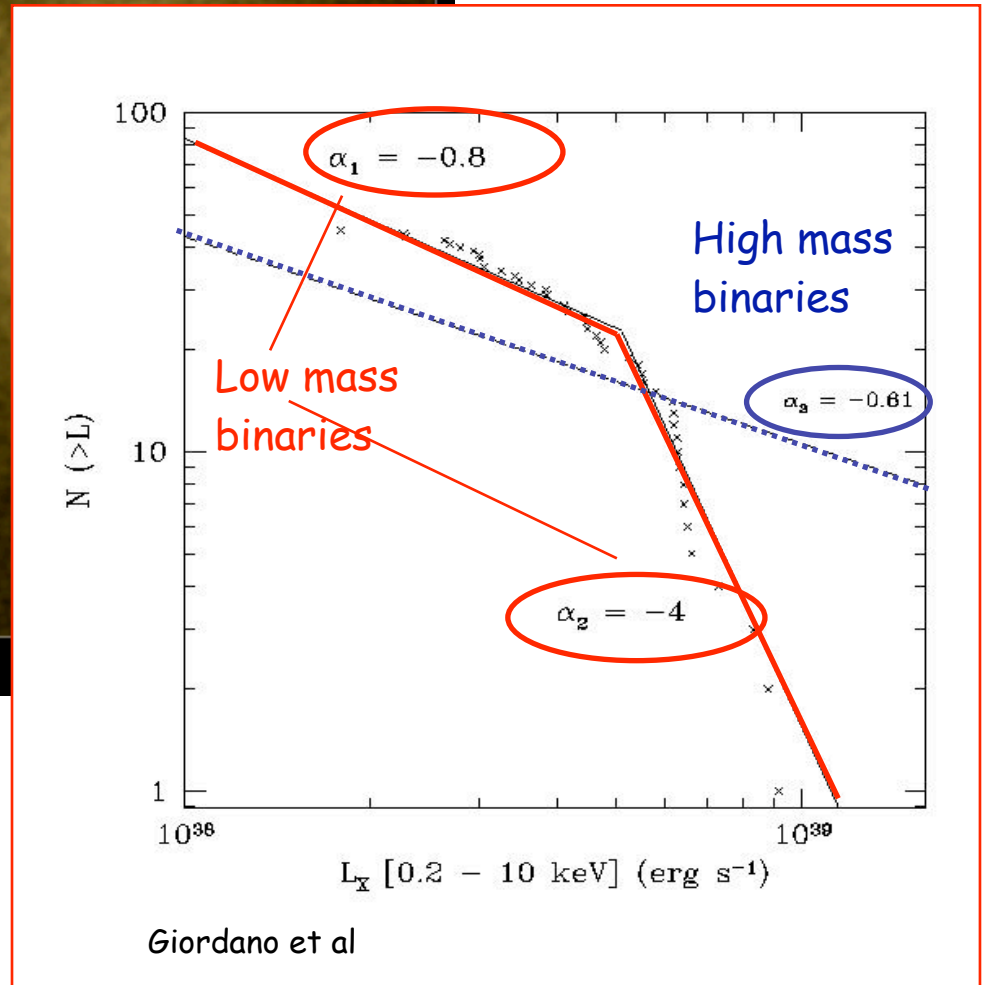




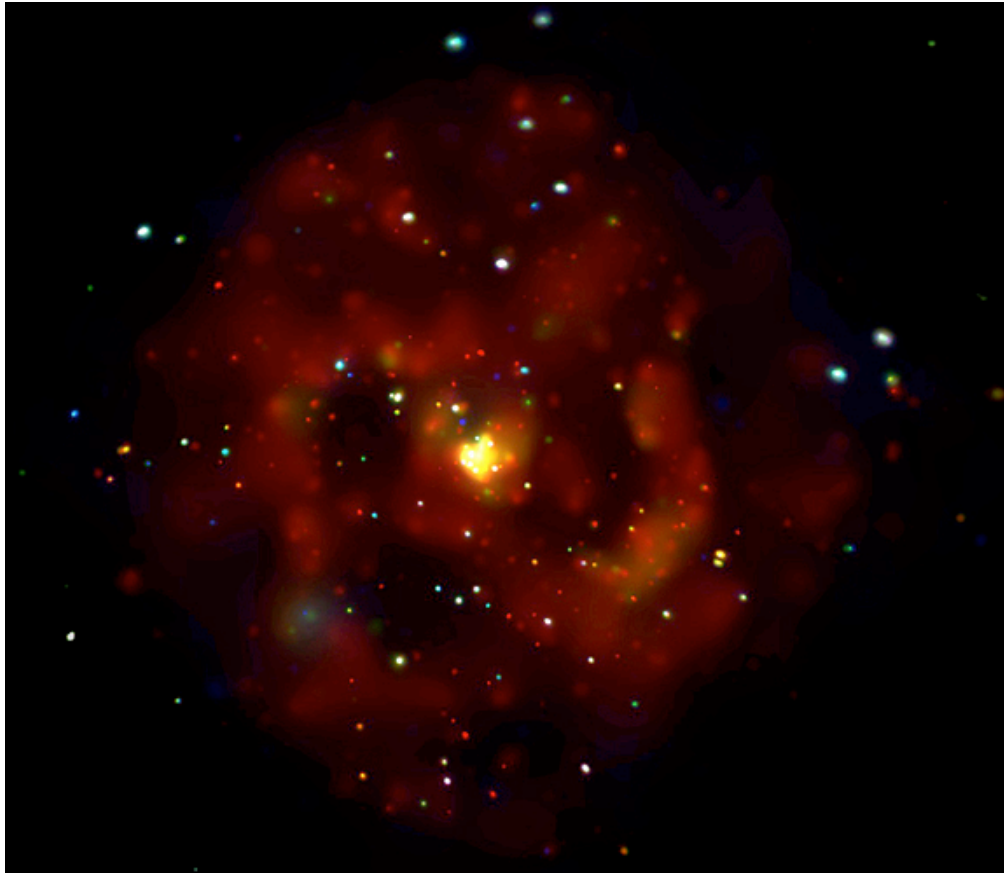
CHANDRA X-RAY

ELLIPTICAL GALAXY
NGC 4261

1/2 ASSOCIATED TO GLOBULAR CLUSTERS

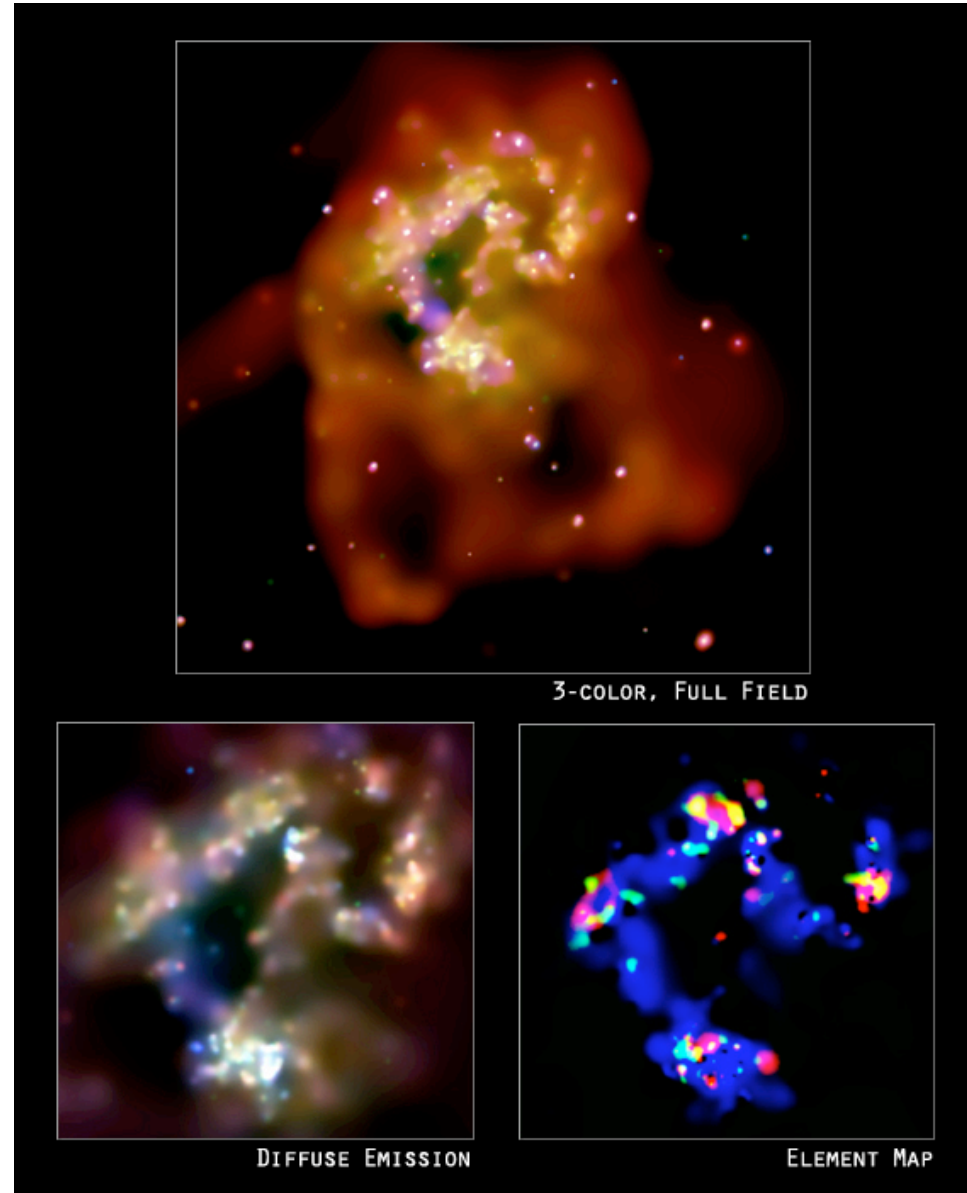
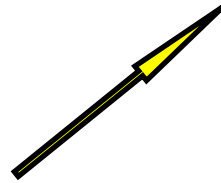


- DISCOVERY OF A BLACK HOLE (IMBH?) IN A GLOBULAR CLUSTER OF NGC 4472 (Maccarone et al. 2007)

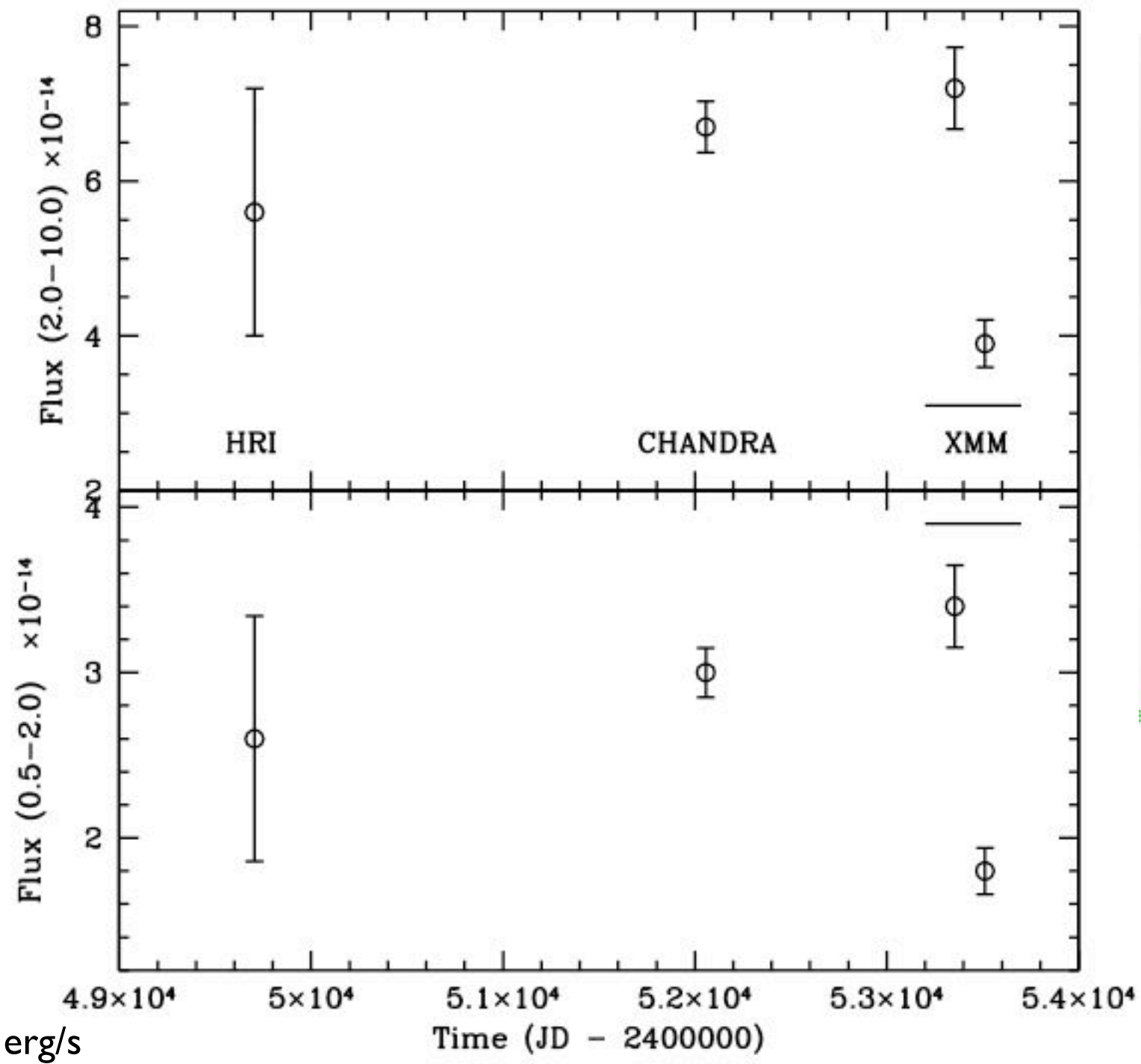
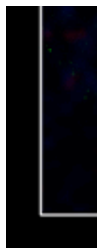


SPIRAL M83

INTERACTING GALAXIES
THE ANTENNAE



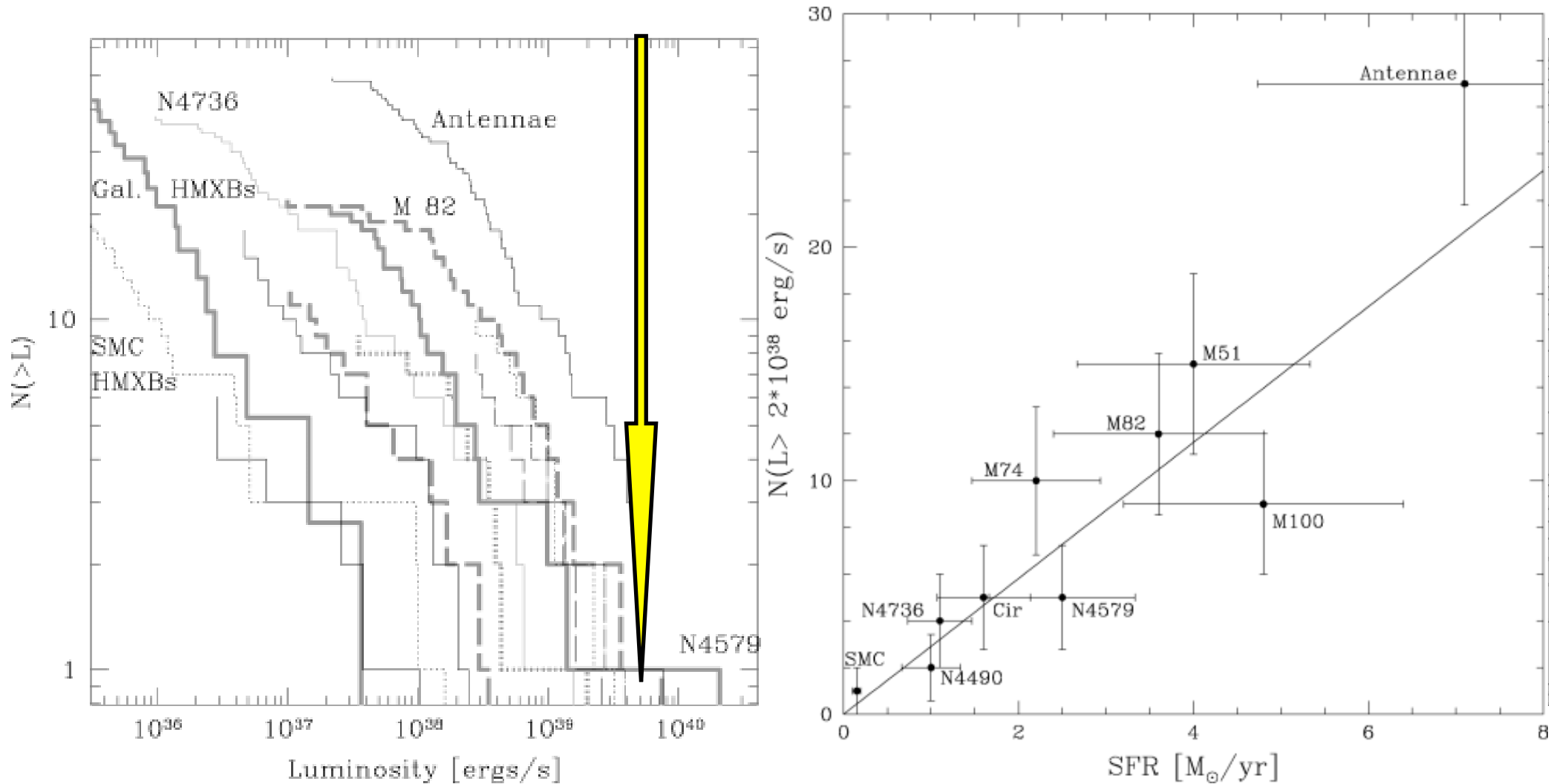
42:300
-33:43:000
30.0
44:000
-2.0



$L > 10^{41}$ erg/s

LUMINOSITY FUNCTION of HMXB IN EXTERNAL GALAXIES

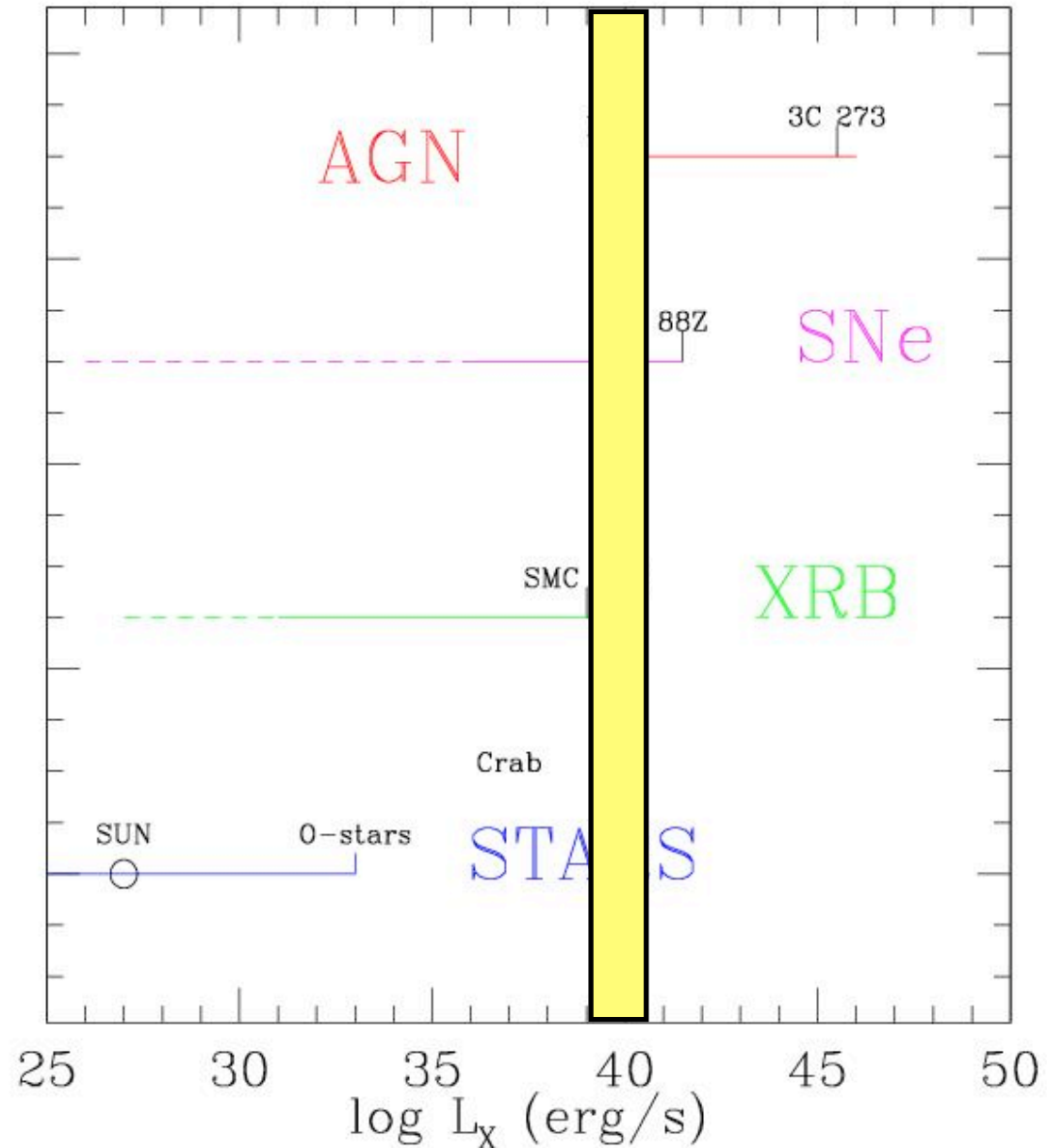
Universal? Dependent on SFR? Grimm & Gilfanov important contributions



Power law with high luminosity cut-off @ $L_x \sim 2 \times 10^{40}$ erg/s

Normalization proportional to SFR

ULTRA LUMINOUS SOURCES (ULXs)



INTERMEDIATE MASS BLACK HOLES ?

AFTER THE DISCOVERY OF ULXs MANY MODELS
HAVE BEEN SUGGESTED

(I) SUPERNOVAE IN DENSE ENVIRONMENTS

$$L_x \sim 10^{38} - 2 \times 10^{40} \text{ erg/sec}$$

(lifetime - years or more)

(II) SPIN-DOWN LUMINOSITY OF A YOUNG PULSAR

(III) ACCRETORS
INTERMEDIATE MASS BLACK HOLES
(ISOTROPIC EMISSION)

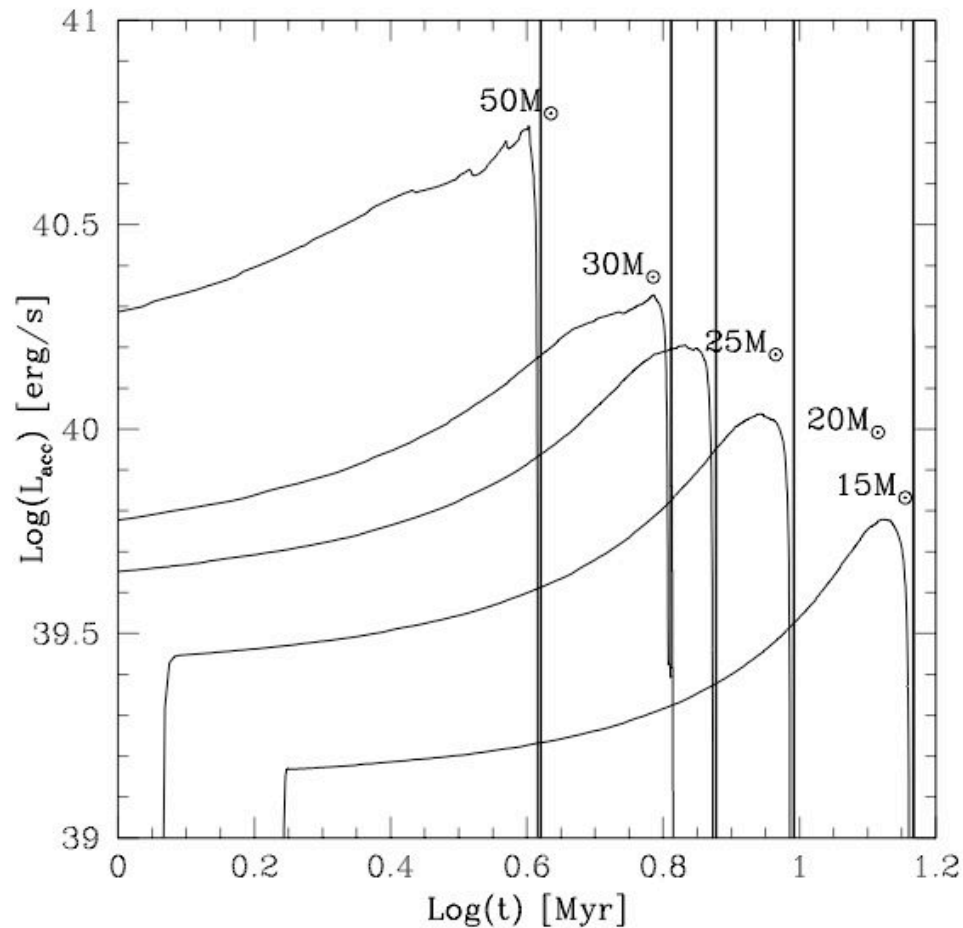
$$10^2 - 10^4$$

SOLAR MASSES

NOT RESULTING FROM CURRENT

STELLAR EVOLUTION

ASSOCIATION OF ULXs to STAR FORMING REGIONS



MASSIVE OPTICAL
COMPANION
ROCHE LOBE
OVERFLOW ONTO
IMBH

PERSISTENT LONG
LIVED SOURCE ON
ZAMS

ON THE RED GIANT
THE SOURCE
CAN BE TRANSIENT

HMRXs --- PROGENITORS OF IMBH-PSR SYSTEM

(IV) ULXs AS EXTREME ENDS of an HMXB POPULATION FORMED
IN STAR FORMING REGIONS

EXCEEDING THE EDDINGTON LUMINOSITY OF
A SOLAR MASS BLACK HOLE

ANISOTROPIC EMISSION
CONFINED TO A SOLID ANGLE $4\pi b$
“b” the beaming factor

BEAMED EMISSION FROM A RELATIVISTIC JET
(GRS 1915+105-MICROBLAZAR)

STABLE SUPER-EDDINGTON LUMINOSITY DUE TO A SUITABLE
GEOMETRICAL ARRANGEMENT OF EMISSION ALLOWING
PREFERENTIAL PHOTON ESCAPE
(geometrically thick accretion disc producing pair of scattering funnels
through which the accretion radiation emerges)

How can we constrain their nature?

If ULXs are IMBHs they should have properties intermediate to the Stellar BHs and AGNs

OPTICAL

° Optical nebulae with large kinetic energies and size 200-600 pc
(ULX in Holmberg II...in favor of isotropic emission)

° Optical Stars

2 sources with probable O-star counterpart

very high X-ray/Optical ratios

puzzling...LMXBs versus HMXBs

(not expected in AGNs)

no contribution from an accretion disc

RADIO

AGN, SNR, beaming, HII regions

° ULXs have a lower radio/X-ray ratio than BL Lacs
and also optical/X-ray ratios inconsistent

This lessens the likelihood that the ULX are beamed objects

° Many ULXs host resolved radio emission by VLA
(against relativistic beaming)

° More luminous SN remnant than CAS-A

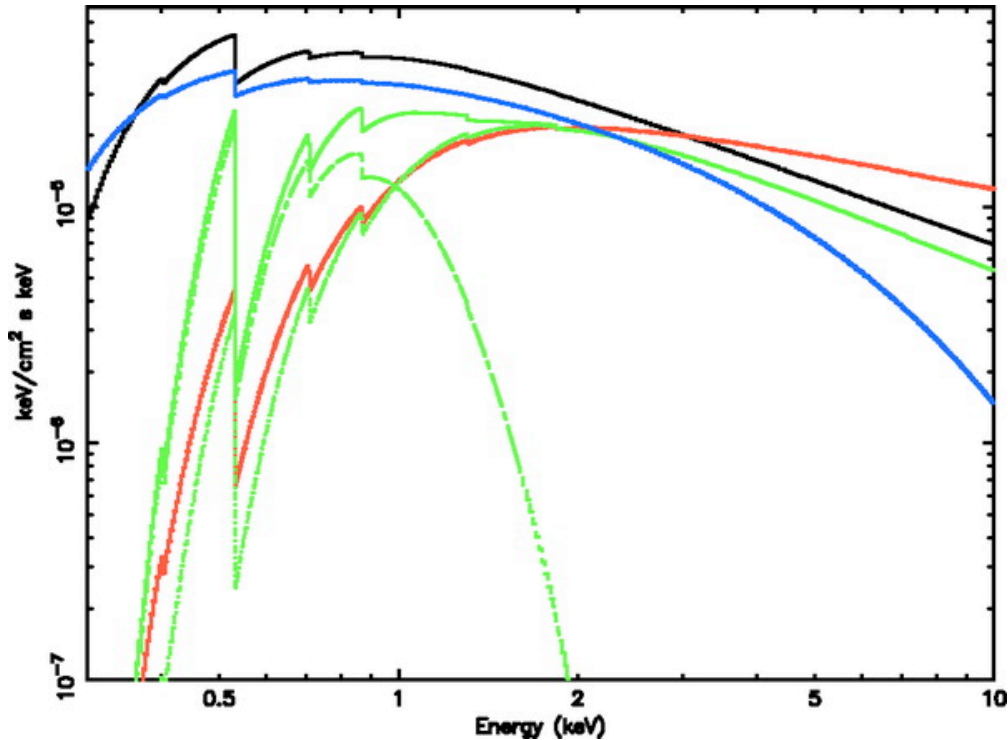
X-RAYS

- soft spectral component
- variability

TIME VARIABILITY

- X-ray Periods... Binary Periods (eclipses) ?
 - both low and large amplitude variability (transients?)
 - QPOs Keplerian frequency at ISCO?
 - some have (X-7 in NGC 4559) and power density spectra similar to BH Candidates
 - some do not have any QPOs

Spectral properties: 4 examples @ $\geq 10^{40}$ erg/s, constant flux



$$T \propto M^{-1/4}$$

Black: $\Gamma=1.48$ for X-11.

Red: $\Gamma=1.9$ for X-16.

Green: MCD ($kT=0.13$ keV) plus $\Gamma=2$ for X-37 (with disk and power-law components also shown).

Blue: Bremsstrahlung ($kT=3.7$ keV) for X-44.

“Clearly, the best-fit models for the ULX spectra that we consider are significantly different; this may indicate different source types.”

Miller et al. 2004

HETEROGENEOUS CLASS?

REFERENCES

Narayan: Black Holes in Astrophysics
arXiv:gr-qc/0506078

Fabbiano & White: Compact Stellar X-ray Sources in
Normal Galaxies
in “Compact Stellar X-ray Sources” Es. Lewin et al.
Cambridge University Press

King: Accretion in Compact Binaries
in “Compact Stellar X-ray Sources” Es. Lewin et al.
Cambridge University Press

Genzel et al. arXiv:07041281 & References therein

Mushotzky: ULXs in Nearby Galaxies
arXiv: astro-ph/0411040

Coalescence of binary systems

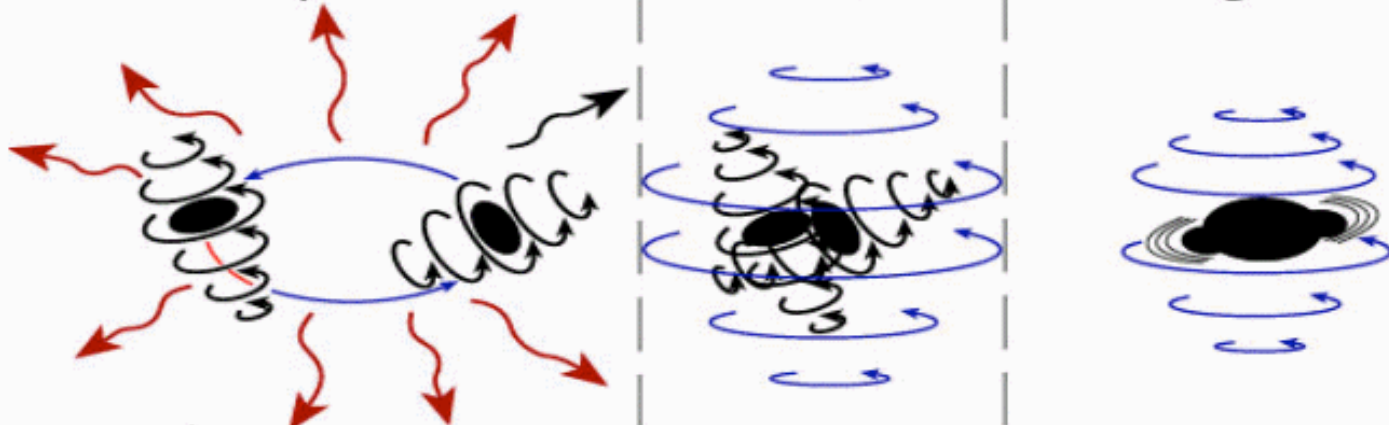
$$f = 4 \left[M(1+z) / 10^3 M_{\odot} \right]^{-1} \text{ Hz}$$

$$f = 32 \left[M(1+z) / 10^3 M_{\odot} \right]^{-1} \text{ Hz}$$

Inspiral

Merger

Ringdown



Long lived

Short lived

PROBABILITY
DISTRIBUTION
OF THE ERRORS
IN THE CHIRP MASS
AND REDUCED MASS
DETERMINATION

dashed line zero SPIN

PRECESSING
BINARIES
SHOW A MUCH
GREATER RICHNESS
OF FEATURES THAN
NON SPINNING
BINARIES

